

# Manuscript Details

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## Abstract

Here we present an integrated earth surface process and paleoenvironmental study from the Tingri graben and the archaeological site of Su-re, located on the southern rim of the Tibetan plateau, spanning the past ca. 30 ka. The study area is characterized by cold climate earth surface processes and aridity due to its altitude and location in the rain shadow of the Mount Everest–Cho Oyu massif and is thus sensitive to climatic and anthropogenic perturbations. In this highly dynamic geomorphic environment, paired-cosmogenic nuclide results from boulders on a massive hummocky moraine in the southern Tingri graben reveal complex exposure histories that limit our capability of directly dating the corresponding glacial advance, and shed a note of caution on previously published single-nuclide-based exposure ages along the northern Himalaya. Based on geomorphic considerations, however, the moraine clearly represents the local last glacial maximum, and likely coincided with a  $\sim 344 \pm 109$  m depression of discontinuous permafrost zone relative to today during the global last glacial maximum (gLGM). This greatly intensified permafrost and periglacial hillslope processes and led to fluvial aggradation of the valley floors of  $\geq 12$  m. We observe formation of a thick ( $\geq 50$  cm) pedo-complex starting at ca. 6.7 ka before present (BP) and erosional truncation at ca. 3.9 ka BP. Widespread landscape instability and erosion characterize the region subsequent to 3.9 ka and intensifies in the 15th century AD. Several lines of (geo)archaeological evidence, including the presence of pottery sherds, sling-shot projectiles and hammer stones within the sedimentary record, indicate human presence at Su-re since ca. 3.9 ka BP. Our data suggest that in the Su-re-Tingri area climatic conditions were warm and moist enough to allow vegetation expansion and soil formation only from ca. 6.7–3.9 ka, followed by weakening of the Indian summer monsoon (ISM) strength between ca. 4.2 and 3.9 ka, which is a prominent climatic event in the wider Asian monsoon region, and reflected in the investigation area by the 3.9 ka erosional boundary. Merging our Holocene landscape reconstruction with the geoarchaeological evidence, we speculate that the combined effect of Little Ice Age (LIA) cooling and an anthropogenic overuse of the landscape led to climatically induced landscape degradation and ultimately to an anthropogenically triggered ecological collapse in the 15th century. Such a scenario is in-line with regional historical data on declining monastery construction and migration of the ethnic group of the Sherpas. From an earth surface dynamics perspective, we find that transient landscape processes on the southern rim of the Tibetan plateau are strongly linked to millennial scale changes in the ISM intensity and duration. We identify three types of unidirectional non-linear ISM-landscape interactions. Given that the Tibetan plateau is the largest high-altitude landmass on our planet and our limited understanding of several of the key earth surface processes on the plateau, we pinpoint the need for more long-term (Quaternary scale) empirical data particularly on permafrost and periglacial processes and human-environment interactions

**Keywords** Tibet; monsoon; periglacial; permafrost; optical methods; cosmogenic isotopes; landscape degradation; Holocene; Pleistocene,

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## Highlights

- Depression of permafrost zone by ~450 m and  $\geq 12$  m of fluvial aggradation during LGM
- Favorable climate from 6.7-3.9 ka: formation of pedo-complex, truncated by mega drought
- LIA cooling & human impact causing ecological collapse during 15<sup>th</sup> century AD?
- Three distinct types of interactions between monsoon and landscape processes identified
- Need for more research into periglacial processes and human-environment interrelations

1 Landscape dynamics and human-environment interactions in the northern  
2 foothills of Cho Oyu and Mount Everest (southern Tibet) during the Late  
3 Pleistocene and Holocene

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28 glacial maximum (gLGM). This greatly intensified permafrost and periglacial hillslope processes and  
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32 intensifies in the 15<sup>th</sup> century AD. Several lines of (geo)archaeological evidence, including the  
33 presence of pottery sherds, sling-shot projectiles and hammer stones within the sedimentary record,  
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48 our limited understanding of several of the key earth surface processes on the plateau, we pinpoint  
49 the need for more long-term (Quaternary scale) empirical data particularly on permafrost and  
50 periglacial processes and human-environment interactions.

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### 53 **1. Introduction**

54 With average elevation of  $\geq 4500$  m above sea level (asl), the Tibetan plateau (TP) and  
55 adjacent mountain ranges cover an area of approximately 2.4 Mio km<sup>2</sup> and thus form the highest  
56 and largest contiguous landmass on our planet. The Himalaya acts as the prominent topographic  
57 barrier and as an ecological transitional zone between the cool and arid TP and the sub-tropical  
58 Indian lowlands in the south and also hosts several of the highest mountain peaks in the world. As  
59 such the Himalaya hinders an effective moisture transport from the Indian Ocean onto the plateau,  
60 but also impedes human migration between India and Tibet (e.g. Bookhagen and Burbank, 2006;  
61 Aldenderfer, 2011). The moisture that does arrive on the plateau mainly originates from the Indian  
62 Summer Monsoon (ISM), particularly in the south and southeastern sectors of the TP (200-800 mm  
63 mean annual precipitation, ~90 % related to ISM), while the north and northeastern sectors of the  
64 TP typically receive significantly less than 200 mm mean annual precipitation and are under a  
65 stronger influence of the westerlies (Xu et al., 2008; Qi et al., 2016). The high elevation setting of the  
66 TP also entails low mean annual air temperatures ( $< \sim 10^{\circ}\text{C}$  to well below  $0^{\circ}\text{C}$ ; Xu et al., 2008; You et  
67 al., 2010) and favors the occurrences of extensive permafrost (Wang and French, 1995b; Cheng and  
68 Wu, 2007). These physio-geographic and climatic parameters in combination with the low effective  
69 oxygen levels on the TP (40-50% less on the plateau compared to sea level) put severe constraints on  
70 any living organism, including humans (Aldenderfer, 2011; Meyer et al., 2017). Vast stretches of the  
71 TP are thus best described as a high-altitude arid steppe and only the southern and eastern rim of  
72 the plateau holds grasslands that can support populations of nomadic herdsman due to the  
73 influence of the ISM.

74 On the TP as well as in the Himalaya the ISM exerts a strong influence on earth surface  
75 processes and landscape dynamics via a range of geological and biological feedback mechanisms. As  
76 the single most important moisture source the ISM is also central for the socio-economic  
77 development of past and present societies in this region. The Late Pleistocene and the Holocene  
78 have seen dramatic fluctuations in both monsoon intensity and temperature on millennial to  
79 centennial timescales (e.g. Wang et al., 2008; Cai et al., 2012; Zhu et al., 2015; Kathayat et al., 2016).  
80 Given that large parts of the TP are situated at or beyond the current northern limit of the ISM and  
81 because of the high altitude and low mean annual air temperature on the TP, any swings in either  
82 precipitation or temperature must have had major effects on the landscape, ecosystems and high-

83 altitude inhabitants. Reconstructing the climatic and paleoenvironmental evolution and associated  
84 landscape dynamics that played out on the TP under these varying precipitation and temperature  
85 regimes is thus important in order to understand (i) the present state of these high-altitude  
86 landscapes and ecosystems, and (ii) anticipate their potential future evolution. A solid understanding  
87 of terrestrial processes and their linkage to climate drivers is also mandatory for (iii) investigating  
88 possible linkages between climate, environment and the socio-economic development of historic  
89 and pre-historic Tibetan societies (Sinah et al., 2011; Kathayat et al., 2017).

90 Currently our understanding of the climatic history and environmental processes that  
91 operate on the TP is mainly based on Holocene lake sediments (e.g. Morrill et al., 2003; Bird et al.,  
92 2014; Conroy et al., 2017; Hudson et al., 2015; Shi et al., 2017), a limited number of speleothems  
93 (Cai et al., 2010; Cai et al., 2012), and several short and discontinuous aeolian records (e.g. Stauch,  
94 2015). On the TP terrestrial archives that extend into the Late Pleistocene are rare (e.g. Zhu et al.,  
95 2015; Cai et al., 2010) as are studies about paleoenvironmental and paleoclimatic change from a  
96 multiple earth surface processes perspective on the scales of landscapes (e.g. Yan et al., 2018).

97 In this study we aim at furthering our understanding of the dynamic interactions between  
98 different earth surface processes and climate and consider the role of humans as geomorphological  
99 agents on the TP. We present the results of reconstructing landscape evolution and  
100 paleoenvironments in the southern TP over the past ca. 30 ka. We investigated a wide range of  
101 terrestrial archives and landscape features in the vicinity of an archeological site known as Su-re,  
102 situated in the northern foothills of Cho Oyu and Mount Everest. For chronology building  
103 luminescence and radiocarbon dating as well as cosmogenic radionuclide dating are used and  
104 sedimentological, geomorphological, geoarchaeological and pollen analysis are applied to a variety  
105 of sediments and geomorphic settings. This synoptic approach allows us to elucidate the complex  
106 landscape history and its potential climatic forcings, and is unprecedented in detail for this part of  
107 the TP. Integrating our landscape reconstruction with archaeological data also sheds new light on  
108 the potentially significant interactions between humans, landscape degradation and soil deflation.

109

## 110 **2. Investigation area**

111 The investigation area is situated north of the Mount Everest–Cho Oyu massif in southern  
112 Tibet within the Tingri graben. The north-south striking Tingri graben is the southernmost portion of  
113 the Tangra-Yum Co rift graben system that extends from the north face of Cho Oyu (8201 m asl) into  
114 the interior of the TP and formed in response to east-west extension during the Quaternary (Fig. 1a;  
115 Armijo et al., 1986; Taylor et al., 2003; Jessup and Cottle, 2010). Glacier-fed rivers originating from  
116 the Lapchi Range and the north side of the Cho Oyu massif are mainly responsible for the  
117 Quaternary infill of the Tingri graben and form an alluvial plain that is up to 15 km wide (Fig. 1b).  
118 Several hot springs and associated travertine deposits are bound to the active high-angle normal  
119 faults of the Tingri graben (Armijo et al., 1986; Hoke et al., 2000; Newell et al., 2008). The graben  
120 also cross-cuts the South Tibetan detachment system, i.e. a series of east-west striking low-angle  
121 normal faults with top-to-the-north-displacement (Fig. 1b; Burchfield et al., 1992). The South Tibetan  
122 detachment system juxtaposes high-grade gneisses, migmatites and leucogranites of the Greater  
123 Himalayan series with un-metamorphosed sediments of the Tibetan Sedimentary Sequence that are  
124 – in the Tingri area – Paleozoic to Cenozoic in age and consisting of Late Triassic (partly fossil

125 bearing) sand- and siltstones, (occasionally bioclastic) limestones and shales (Burchfiel et al., 1992;  
126 Zhang, 2012; Jiang et al., 2016). The Tingri graben is filled with sediments mainly derived from  
127 reworking of the Tibetan Sedimentary Sequence (Armijo et al., 1986; Zhang, 2012).

128 Many of our sedimentological and geomorphological field investigations were focusing at  
129 and around the archaeological site of Su-re (or Shire; 4450 m asl), which is located on a south facing  
130 hillside on the eastern shoulder of the Tingri graben, ~10 km south-east of the Tibetan village of Lao-  
131 Tingri and ~45 km north of Cho Oyu (8201 m asl) and the Chinese-Nepali border (Fig. 1b). Su-re is a  
132 quartzitic sandstone lithic quarry and artefact scatter site (Gliganic et al., 2019). The surface  
133 artefacts are made of core and simple flake tools and were provisionally assigned to the Paleolithic  
134 based on typological analysis and comparisons with distant sites beyond the TP (Zhang Shenshui,  
135 1976; Weiwen, 1994; Aldenderfer and Yinong, 2004). Coming from Lao-Tingri, Su-re also lies on the  
136 way to the Rongbuk valley and Monastery (4980 m asl) and the Everest base camp (5364 m asl, ~80  
137 km driving distance). About 50 km south of Su-re a 5806 m high and glaciated mountain pass –  
138 known as Nangpa La – connects the Tingri area with the Khumbu Himalaya of Nepal. A foot-trail over  
139 Nangpa La was the traditional trade and pilgrimage route that connected the local Tibetans and  
140 Sherpas of the Khumbu until 1950.

141 Field investigations were also carried out on one of two prominent moraine lobes that are  
142 present in the southern part of the Tingri graben and were deposited when the Cho Oyu glacier  
143 (originating from the northern flanks of the Cho Oyu and the western flanks of the Lapchi range,  
144 respectively) and the Lapchi glacier (originating from the east side of the Lapchi range) advanced  
145 into the graben floor (Fig. 1b). The modern snouts of the Lapchi and the Cho Oyu glaciers are located  
146 at altitudes of ~5260 m and ~5130 m asl, respectively. These two glaciers are also the source of the  
147 two main rivers (referred to as Cho Oyu and Lapchi River, respectively) that drain the Tingri graben.

148 Today, the mean annual air temperature at Tingri is ~3-4°C with 300-450 mm of mean  
149 annual precipitation (Xu et al., 2008; Qi et al., 2016). The modern equilibrium line altitude (ELA) in  
150 the region (i.e. for glaciers of the Cho Oyu – Everest massif flowing onto the TP) is situated between  
151 ~5800-6200 m asl (Ye et al., 2015; King et al., 2016) and was estimated to lie at 6200 m asl for the  
152 Rongbuk glacier draining the north flank of Mount Everest (Owen et al., 2009). In contrast,  
153 discontinuous permafrost conditions across the Tibetan Plateau have been reported to reach down  
154 to ~4200 m asl in the north and ~4800 m asl in the south of the plateau (Wang and French, 1995c,  
155 Zhou and Guo, 1982), but are likely subject to regional scale variations. No detailed data for  
156 permafrost conditions around Tingri is so far available.

### 157 3. Methods

158 The wider Su-re area up to the Cho Oyu glacier was investigated during two field seasons in  
159 2014 and 2016 (Fig. 1b). Geomorphological field mapping was aided by remotely sensed imagery  
160 (Google Earth) and detailed sediment logs were drawn from selected natural outcrops and hand dug  
161 pits.

162 Radiocarbon dating was conducted at the accelerator mass spectrometry (AMS) facilities of  
163 the Poznan radiocarbon laboratory and at the University of Salento, Italy (CEDAD) on macroscopic  
164 charcoal pieces and organic-rich sediment samples (n = 5; Table 1). Prior to Acid-Base-Acid  
165 treatment macroscopic contaminants visible under the binocular were removed by hand-picking and

166 for sample R5 modern rootlets were additionally floated off. Measurement procedures in the CEDAD  
167 and Poznan laboratories followed those described in D'Elia et al (2004) and Brock et al (2010),  
168 respectively.

169 Optically Stimulated Luminescence (OSL) dating was performed at the University of  
170 Innsbruck to determine the sediment burial age for 20 coarse-grained sediment samples (Huntley et  
171 al., 1985; Rhodes, 2011; Table 2). Samples were collected by hammering 4-5 cm diameter opaque  
172 tubes into cleaned and logged sedimentary sections. Quartz grains of 180-212  $\mu\text{m}$  diameter were  
173 extracted from the sediment samples in the laboratory under dim red illumination using standard  
174 procedures (Wintle, 1997; Gliganic et al., 2015). Hydrochloric acid (32%) and hydrogen peroxide  
175 (50%) were used to remove carbonates and organics, respectively. Sodium polytungstate solutions  
176 with densities of 2.70  $\text{g}/\text{cm}^3$  and 2.62 $\text{g}/\text{cm}^3$  were used to isolate quartz and feldspar grains from  
177 heavy minerals and quartz from feldspar grains, respectively. A hydrofluoric acid (40% for 40 min)  
178 etch was used to remove the external, alpha-dosed rind of the grains (Aitken, 1998) and  
179 contaminant feldspars. Finally, grains were rinsed in hydrochloric acid and sieved again to retain the  
180 target grain-size fraction. Grains were loaded into a Risø DA20 TL/OSL reader (Bøtter-Jensen et al.,  
181 2003) and were measured using a post-IR blue protocol to ensure the purity of quartz OSL signals.  
182 Aliquots were stimulated with the Blue LEDs (470 $\pm$ 30 nm) following infrared (IR) (875 nm)  
183 stimulations. The OSL signal was measured using an Electron Tubes Ltd 9635 photomultiplier tube  
184 and the ultraviolet emissions were measured through 7.5 mm of Hoya U-340 filter. IR stimulations  
185 were performed for 40 s at 50°C, and blue stimulations were performed for 40 s at 125°C. Signals  
186 were integrated using an early-background subtraction approach (Cunningham and Wallinga, 2010)  
187 where the signal was summed between 0 and 0.8 s minus a background integrated between 0.8 and  
188 2.72 s. Laboratory irradiations were given using a calibrated  $^{90}\text{Sr}/^{90}\text{Y}$  beta source mounted on the  
189 Risø DA20 TL/OSL reader.

190 Multi-grain aliquots comprising ~500 grains (5-mm diameter masks) were measured and  
191 equivalent dose (De) values were determined using the single-aliquot regenerative dose (SAR)  
192 procedure (Murray and Wintle, 2000). SAR measurements included regenerative dose preheats (10  
193 s) and test dose preheats (5 s) of 240°C and 220°C, respectively. The appropriateness of the SAR  
194 procedure was assessed using standard tests, including a recycling ratio test, recuperation test  
195 (Murray and Wintle, 2000), OSL-IR depletion ratio (Duller, 2003), and dose recovery tests (Roberts et  
196 al., 1999; Murray and Wintle, 2003). The Central Age Model (CAM; Galbraith et al., 1999; Galbraith  
197 and Roberts, 2012) was used to model De distributions and determine representative De values.

198 The total environmental dose rate for each sample was measured using standard  
199 techniques. The results of beta counting using a GM-25-5 beta counter (Bøtter-Jensen and Mejdahl,  
200 1988) and thick-source alpha counting and the conversion factors of Guerin et al. (2011) were used  
201 to calculate beta and gamma dose rates. The cosmic-ray dose rate was calculated following Prescott  
202 and Hutton (1994) and an internal alpha dose rate of 0.03 $\pm$ 0.01 Gy/ka was assumed.

203 The age of four pottery samples was determined by measuring the pIRIR290 signal of a  
204 polymineral fine grain extract from the center of pottery sherds found in sedimentary sections and  
205 on the surface (Table 3). After the outer 2 mm of each ceramic sherd was removed in the laboratory,  
206 the remaining sherd was gently crushed with a mortar and pestle and the fine grain size fraction (~4-  
207 12  $\mu\text{m}$ ) was isolated by Stokes settling. Aliquots comprising polymineral fine grains were measured

208 using the same equipment as sedimentary OSL samples. After a preheat of 320°C (60 s) and an IR  
209 bleach (50°C for 200 s), the blue emission of the post-IR IRSL (290°C for 200 s) signal was measured  
210 through the blue filter pack. The signal derived from the first 3 s minus a background integrated over  
211 the final 10 s was used to determine De values by the SAR procedure. An IR bleach (325°C for 200 s)  
212 was administered following the measurement of the test dose in each SAR cycle.

213 The dose rate of pottery sherds includes an alpha, beta, and gamma contribution from the  
214 sherd itself (sherd internal dose rate), an external gamma contribution from the surrounding  
215 sediments, and a cosmic contribution. The sherd internal dose rates could not be measured  
216 individually due to the small amount of material. Instead, the remains of samples C23a and C23b  
217 were crushed together to recover enough material, and the alpha, beta, and gamma contributions  
218 from the sherd material were measured using GM-25-5 beta counting and thick-source alpha  
219 counting. This dose rate was then used for all sherds. The gamma component from sediment sample  
220 TIN12, which was collected from an analogous sedimentary context as the sherds, was used as the  
221 external gamma dose rate, and its contribution to the apparent sherd dose rate was calculated  
222 following Aitken (1985; appendix H). The cosmic dose rate was calculated following Prescott and  
223 Hutton (1994).

224 A total of five samples were taken in the field for surface exposure dating with cosmogenic  
225 radionuclides (CRN; **Table 4**). Samples were taken by extracting ~350 g of rock from the surfaces of  
226 glacial boulders on the Cho Oyu moraine. Sample processing following standard methods and bulk  
227 samples have been enriched in quartz by standard physical and chemical treatment (Brown et al.,  
228 1991). CRN dating was conducted at the AMS facilities of the Helmholtz-Zentrum Dresden-  
229 Rossendorf, Germany. For Be and Al separation from quartz-rich samples, ~300 µg of an in-house  
230 <sup>9</sup>Be carrier ('Phena EA', 2246 ±11µg/g <sup>9</sup>Be, Merchel et al., 2013) was added to the pure quartz  
231 samples before dissolution. Two processing blanks were treated along the samples with the same  
232 amount of acids, <sup>9</sup>Be carrier and additionally 750-1000 µg commercial <sup>27</sup>Al carrier. We applied a  
233 modified version of the measurement protocol described in Merchel and Herpers (1999) to extract  
234 Be and Al. The <sup>27</sup>Al concentration in the samples was measured from a representative liquid aliquot  
235 (1-4%) after dissolution by Inductively Coupled Plasma Mass Spectrometry (ICP-MS).

236 Isotope ratios were measured by AMS at the DREAMS facility (Rugel et al., 2016). Be ratios  
237 were normalized to the in-house standard SMD-Be-12 with a <sup>10</sup>Be/<sup>9</sup>Be ratio of  $(1.704 \pm 0.030) \times 10^{-12}$   
238 (Akhmaliev et al., 2013), which has been cross-calibrated to the NIST SRM 4325 standard  
239 (<sup>10</sup>Be/<sup>9</sup>Be =  $2.79 \pm 0.03 \times 10^{-11}$ ) (Nishiizumi et al., 2007). Al ratios were normalized to the in-house  
240 standard SMD-Al-11. It has a <sup>26</sup>Al/<sup>27</sup>Al ratio of  $(9.66 \pm 0.14) \times 10^{-12}$  (Rugel et al., 2016), which is  
241 traceable to three primary standards from a round-robin exercise (Merchel and Bremser, 2004).  
242 Model bedrock erosion rates and/or exposure ages were calculated using the CRONUS-Earth online  
243 calculators (version 2.3 – <http://hess.ess.washington.edu>; Balco et al., 2008) and are reported here  
244 using the time-independent Lal/Stone scaling scheme (Stone, 2000). Generally, higher uncertainties  
245 on the <sup>26</sup>Al concentrations result from an estimated 3% uncertainty on the <sup>27</sup>Al ICP-MS data. Blank  
246 corrections from the processing blanks are negligible, i.e. <1% for both nuclides and all samples,  
247 besides <sup>26</sup>Al in sample Oyu\_126.

248 Three organic-rich sediment samples (P1, P5 and P6) were analyzed for palynomorphs.  
249 Before taking the samples ~10 cm of sediment was removed from the exposure to avoid



250 contamination with modern pollen. From each sample 2-3 ml were prepared by standard methods  
251 (HCl, KOH, acetolysis, HF and ultrasonic sieving at 5 µm mesh size). The extracted material was  
252 spiked with a *Lycopodium* pill and analyzed in glycerin. The palynomorphs were routinely counted  
253 under 400 x magnifications until 1000 *Lycopodium* spores have been encountered.

254 For four sediment samples (identical to the OSL samples Tin 9, 12, 14 and 19; Fig. 4) heavy  
255 mineral analyses were conducted for provenance analysis. The samples were sieved to the 90-212  
256 µm grain size fraction and treated with a sodium polytungstate solution with a density of 2.9 g/cm<sup>3</sup>.  
257 The heavy minerals were mounted on glass slides, embedded in Canada balsam and on average 289  
258 grains were counted per sample under a polarizing microscope (supplementary online material  
259 (SOM) 1).

260

## 261 4. Results

### 262 4.1 Geomorphology at Su-re

263 The Su-re catchment is ~73 km<sup>2</sup> in size, unglaciated and consists of three unnamed  
264 tributaries 4 to 14 km in length (Fig. 1b). It is situated within the northern foothills of the Mount  
265 Everest–Cho Oyu massif. The tributaries form an alluvial floodplain ~4435 m in altitude immediately  
266 to the south of the archaeological site of Su-re. This floodplain merges with the Cho Oyu floodplain  
267 ~1.2 km to the west of Su-re (Fig. 2). Shallow groundwater is locally favoring the occurrence of small  
268 wetlands within this alluvial plain. The archaeological site of Su-re lies adjacent to such a wetland  
269 that is ~1 km<sup>2</sup> in size (Fig. 2).

270 Three fluvial terrace levels were mapped in Su-re (Fig. 2): the lowermost terrace (T1) lies 1 to  
271 1.5 m above the modern floodplain, while the terraces T2 and T3 are situated approximately 10 and  
272 12 m above the modern floodplain, respectively. A fourth terrace level only slightly higher than T3  
273 can locally be discerned and has been grouped with T3. The archaeological surface finds (ASF) at Su-  
274 re occur on a gently inclined hillside adjacent and upslope of these fluvial terraces and the highest  
275 density of ASF occurs over an area of ~2 hectares between 4450 and 4460 m asl (Fig. 3).

276 Small gullies and dry valleys cut into the foothills around Su-re and form alluvial fans that are  
277 adjusted either to the modern floodplain or to one of the fluvial terrace levels (Figs. 2 and 3). A  
278 laterally discontinuous aeolian cover sheet that is typically several tens of centimeters thick and  
279 composed of yellowish sand and silt is covering hillslope toes, fluvial terraces and alluvial fans. This  
280 cover sheet is partly stabilized by sparse vegetation comprising tussocks and shows a high density of  
281 blowouts (Fig. 2 and 3).

282 The hillslopes north and east of Su-re are rectilinear debris mantled slopes with slope angles ranging  
283 from 20° to >30°, which turn slightly convex towards the crest (~6-9°) and concave at the toe (~15-  
284 20°; Fig. 2). They are up to 4800 m asl in altitude, reveal smooth crests and are composed of shale  
285 and fine-grained sandstone as well as (bioclastic) limestone. Unsorted stripes starting at the hill  
286 crests and oriented parallel to the slope gradient occur. Along some north facing hillslope toes  
287 solifluction lobes can be observed (Fig. 2). Rock glaciers are relatively abundant in the northern  
288 foothills of Mount Everest, partly because of the softness and well developed schistosity of the host  
289 rock (i.e. Tibetan Sedimentary Sequence) resulting in thick talus deposits due to frost cracking which

290 in turn are prone to rock glacier formation. On Google Earth imagery several dozen of such talus-  
291 derived rock glaciers were identified in the wider Su-re area that can be grouped into rock glaciers  
292 that are probably intact (containing ice) and relict (not containing ice) based on morphological  
293 criteria such as steepness of the front, surface flow structures, soil and vegetation cover (e.g. Barsch,  
294 1996; Jones et al., 2018; Blöthe et al., 2019). Although a detailed rock glacier inventory has not been  
295 established in the course of this study, the altitude range covered by this random sample of rock  
296 glaciers that make up these two groups ranges from 5471 - 4870 m asl (median  $4963 \pm 164$  m;  $n = 12$ )  
297 for the intact and from 4808 - 4450 m asl (median  $4578 \pm 141$  m;  $n = 6$ ) for relict rock glaciers,  
298 respectively (SOM 2).

## 299 4.2 Sedimentary record at Su-re

300 About 20 sediment outcrops or pits were investigated and sampled for  $^{14}\text{C}$  and OSL dating  
301 and their locations are indicated in Figure 2 and 3, respectively. For the most representative of these  
302 ( $n = 16$ ), detailed sediment logs are shown in Figure 4. These sediment logs come from (i) along two  
303 gullies that are situated directly south and southeast of the ASF area (log G-1A to G-2B), (ii) two pits  
304 dug at the hillslope toe within the ASF area (log pit-H1 and H2), (iii) along a gully that incises into an  
305 inactive alluvial fan ~450 m east of the ASF area (log F-1 to F-A2), (iv) from a tributary valley ~1 km  
306 northwest of the ASF area (logs Tv-1 to Tv-3), (v) from fluvial terrace sediments along the modern  
307 river bed (log R-1 to R-4), and (vi) from a blow-out(log Bo-180; Fig. 5d). Sediments exposed are  
308 generally coarse-grained (i.e. sand-sized or coarser) and encompass a range of colours and  
309 sedimentary properties, allowing their classification into five main sedimentary lithofacies, as well as  
310 the distinction of pedogenic horizons and processes (Fig. 4):

311 **Lithofacies A:** Coarse-grained, organic-free, clast-supported and often stratified gravels  
312 (facies A1) of light brownish colour characterize the basal sections of logs along the T1 river terrace.  
313 There are occasional gravelly sand lenses of up to 10 cm thickness. In general, the gravels are  
314 moderately to poorly sorted, show sub-rounded to angular clast morphologies and rare b-axis  
315 imbrication. In logs R-1 and R-4 these sediments are directly overlain by clast-supported but well-  
316 sorted and often imbricated gravels (facies A2). Based on these characteristics, lithofacies A likely  
317 indicate deposition in a fluvial channel environment with facies A2 reflecting transport by a more  
318 perennial stream with increased potential for sorting and rounding of clasts.

319 **Lithofacies B:** While in logs R-1 and R-4 lithofacies A represent the upper 60-80 cm of the  
320 logs, diamictic and very poorly sorted sediment composed of matrix-supported gravel characterize  
321 the upper part of the sections in logs R-2 and R-3 (Fig. 5a). Clasts within such diamictic sediments are  
322 angular and up to 40 cm in diameter. The diamict in log R-2 also contains cm to dm-sized sherds of  
323 red pottery (Figs. 5b and SOM 3). Based on these characteristics and the geomorphic setting  
324 downstream of a gully just ~300 m and 600 m to the northwest (Fig. 2), lithofacies B is interpreted as  
325 alluvial deposits originating from debris-flows or hyper-concentrated flow events derived from local  
326 hillslopes or gully systems. Lithofacies B also forms a dominant part of the logs situated in the  
327 adjacent tributary valley (Tv-1 and T-2) that is clearly set in an alluvial fan context.

328 **Lithofacies C:** In logs R-1 and R-3 the fluvial and alluvial sediments are overlain by markedly  
329 light grey to whitish, organic-free sandy mud and massive gravelly sands with only occasional faint  
330 stratification. While along the river terraces (R-1 to R-4) these sediments contain negligible amounts  
331 of pebble-sized clasts (facies C1-3), these strikingly pale-coloured and organic-free sediments also

332 occur in depths  $\geq 80$  cm in most other logs in hillslope settings around the wider ASF area. However,  
333 here they are notably coarser (pebbly to cobbly sand) and contain varying amounts of angular clasts  
334 of up to  $\sim 10$  cm in size (facies C4; log G-1B to G-2B, Pit-H, F-1 to F-A2; Fig. 4). Given the increasing  
335 grain size with proximity to the hillslope and the marked whitish colour we interpret these  
336 sediments as periglacial cover deposits resulting from (i) permafrost related solifluction and soil  
337 creep. Underlying continuous permafrost would also (ii) lead to moisture-saturated and reducing  
338 conditions within the deposit during the transport and helps explaining the markedly whitish colour  
339 inherent to these deposits in hillslope and terrace settings. In addition, these periglacial sediments  
340 often exhibit a gradual but highly irregular and distorted lower boundary where they grade into  
341 more yellowish-brownish sediments of otherwise similar properties, possibly reflecting the spatial  
342 coincidence of sediment movement and a sharp hydrological and soil chemical gradient across the  
343 lower boundary of a seasonally thawed surface layer above permafrost (i.e. active layer). Further  
344 evidence for periglacial conditions associated with these sediments is provided by a wedge-shaped  
345 feature filled by periglacial sediments (log G-1A) as well as highly convoluted stratification and upper  
346 boundary with overlying fluvial sediment in log R-1 that are together indicative of freeze-and-thaw  
347 related processes leading to cryoturbation.

348 **Lithofacies D:** In their upper parts, most logs in the ASF area as well as the log Tv-3 are  
349 characterized by yellowish cross-bedded sand typically 20-100 cm thick with intermittent stonelines,  
350 i.e. thin accumulations of clasts in otherwise clast-free sands (log Pit-H, F-1 to F-A2; Figs. 4 and 6d).  
351 Provided the spatially extensive occurrence of these sediments and clear geomorphic association  
352 with wind generated blowouts (e.g. Bo-180) these sediments are reflecting deposition by aeolian  
353 processes.

354 **Lithofacies E:** In the gully outcrops G-1A to G-2B the upper parts of the section are built from  
355 finely stratified layered yellowish gravelly sand with varying amounts of cm-sized angular clasts  
356 within the sandy matrix. Given their cm-scale stratification and poor sorting in a gully and alluvial fan  
357 context, these sediments likely represent hillslope deposits laid down by water in a non-channelized  
358 environment, e.g. slopewash or minor sheet-flood events with only local-scale run-off and sediment  
359 transport.

360 While overall sediments in the documented logs are characterized by pale whitish, yellowish  
361 or grey-brownish colours, most logs also exhibit horizons that are (i)  $\sim 20$ -50 cm in thickness, have a  
362 slightly loamy texture and are reddish to brownish in colour; and (ii)  $\sim 10$ -30 cm in thickness, wavy  
363 and laterally discontinuous but are distinctly blackish in colour (Fig. 5c). Both of these horizons  
364 generally have gradual lower boundaries and are interpreted as pedogenic horizons with the former  
365 likely representing a Bv horizon formed from in-situ weathering, oxidation and minor rubefication,  
366 and the latter indicating the presence of organic-rich (logs G-1B to G-2B) and even peaty (e.g. log F-  
367 1) horizons mostly associated with depressions and topographic lows (e.g. along gullies; Fig. 2). In log  
368 G-1A an even older paleosol that has been identified at the base of the log exposing a very reddish  
369 and clay-rich horizon with carbonate nodules that have not been observed in any other log. Where  
370 not buried by aeolian or slope sediments, the Bv horizon has almost exclusively formed in periglacial  
371 sediments (Fig. 5c). The lower contact of the Bv horizon with periglacial slope sediments is partly  
372 characterized by a sharp erosional boundary (e.g. Pit-H) but often also grades into an underlying  
373 organic horizon (e.g. logs G-1A, G-2B, F-1). In contrast, the upper contact between the Bv horizon  
374 and the aeolian cover sediments and/or slopewash sediments along the gully (logs G-1A to 2B) is

375 always represented by a sharp erosional boundary and in the former case associated with the  
376 occurrence of stonelines (Fig. 4).

377 From the sediment logs G-1A and F-1, three organic-rich sediment samples were analyzed  
378 for palynomorphs (P1, P5, P6; Fig. 4). The concentration of pollen or other organic microfossils was  
379 low due to strongly oxidizing conditions in the sampled sediments and paleosols and only spores of  
380 the *Glomus* type were encountered in higher concentrations in all three samples (31, 79 and 123  
381 counts for sample P1, P5 and P6, respectively). The other palynomorphs in these samples included  
382 *Picea* pollen fragments (n=2), a conifer-tracheid with piceoid pits (n=1), a monoete fern spore (n=1),  
383 a spore of the *Riccia*-type (n=1), *Arcella* shells (n=4) and 2 charred pieces of grass epidermis.

384 Because oxidation is strongly counteracting pollen preservation the absolute pollen  
385 concentration in our samples is low. Yet, we exclude far-distance pollen transport e.g. via advection  
386 from south of the High Himalaya because (i) it is extremely unlikely that all the regional and local  
387 pollen (that were certainly present in higher abundance in the original sediment) were oxidized and  
388 only the far-distant-transported pollen were preserved; (ii) wind transport of spores of the ground-  
389 living liver-moss *Riccia* and shells of *Arcella* is very unlikely; and because (iii) local occurrence of  
390 conifers is especially underlined by the findings of tracheids from conifer wood. This interpretation is  
391 supported by the fact that the *Glomus* type spores, which are resistant to oxidation, are the most  
392 abundant ones in all our samples. Hence, while our pollen data are not allowing for any quantitative  
393 palynological inferences, they certainly provide a qualitative paleoecological snapshot reflecting  
394 aspects of the local to regional vegetation as discussed below.

395 From a lithological point of view almost all clasts encountered in the Su-re sediment sections  
396 (Fig. 4) are from the Tibetan Sedimentary sequence (shales, fine-grained sandstones and siltstones),  
397 originating from the local hillslopes or the Su-re tributaries 1 to 3 (Fig. 2). The vast majority of these  
398 un-metamorphosed clasts reveal angular to sub-angular clast morphology and only few clasts from  
399 the fluvial sediment logs are sub- to well-rounded. However, migmatites and leucogranites from the  
400 Greater Himalayan series typically well-rounded, spherical in shape and with an average diameter of  
401 ~6 cm occur too (Table 4; Figs. 3, 6e and f). Such clasts have only been observed at or close to the  
402 ground surface, i.e. atop or within the aeolian cover sheet or atop the Bv horizon. Furthermore,  
403 heavy mineral analysis conducted on aeolian (TIN 12 and 19), periglacial (TIN 9) and fluvial (TIN 14)  
404 sediment samples reveal very similar mineral assemblages (SOM 1) for all samples, suggestive of a  
405 single local source area, regardless of sediment type or sediment transport mechanism.

406

#### 407 4.3 Geomorphology and sedimentology of Cho Oyu and Lapchi moraine lobes

408 About 13 km south of Su-re a prominent and up to 4 km wide moraine lobe was deposited  
409 by the Cho Oyu paleoglacier. The lobe represents a massive hummocky moraine reaching down to  
410 an altitude of 4653 m asl and extending as a continuous moraine blanket for ~7 km up valley. For the  
411 initial 2.8 km the surface morphology of this hummocky moraine is irregular, while from 2.8 to 7 km  
412 transverse ridges become more and more common (Fig. 6a). Individual hummocks and kettle holes  
413 range between 10 and 150 m in diameter. A contorted ridge with a height of ~10-15 m relative to  
414 the glacier forefield outlines the hummocky lobe and the highest part of the lobe is made up by  
415 individual transverse ridges (Fig. 6b). A distinct latero-frontal moraine is missing. Further up-valley

416 this contorted moraine ridge merges into a lateral moraine that can be traced for ca. 15-16 km to  
417 altitudes of ~5400 m asl.

418 The sedimentary facies of the hummocky moraine is sandy boulder-gravel. Decimeter sized  
419 sub-rounded to angular clasts are embedded in a sandy matrix. Individual boulders are up to several  
420 meters in diameter. The boulders and clasts are migmatites and leucogranites from the Greater  
421 Himalayan series. In depressions and kettle holes sand has accumulated, smoothing the surface  
422 topography of the moraine. No standing water was observed on the hummocky lobe surfaces.  
423 Where the sediment facies of the hummocky moraine is sandy a thin soil has developed. This soil is  
424 characterized by a 20-30 cm thick slightly reddish to brownish Bv horizon where preserved from  
425 ongoing surface erosion. The hummocky moraine and its outer ridges are sparsely vegetated with  
426 grass.

427 1.2 km to the west the Lapchi moraine lobe reveals a geomorphological and  
428 sedimentological picture very similar to the Cho Oyu moraine lobe. The Lapchi lobe is up to 4.8 km  
429 wide and also composed of a massive hummocky moraine that lacks a distinct latero-frontal moraine  
430 but reveals a semi-continuous transition into the glacier fore field. No evidence for more extensive  
431 glacial advances beyond the Cho Oyu and Lapchi moraine lobes to altitudes below 4600 m asl has  
432 been observed.

433 The Cho Oyu and Lapchi Rivers have incised up to 40 m into these hummocky moraines and  
434 left a set of fluvial erosional terraces, of which the most prominent ones are indicated in **Figure 6a**  
435 (terrace 1, 2 and 3, situated ~13, 25 and 36 m above the modern river channel). Numerous supra-  
436 glacial paleo-meltwater channels radiate from the hummocky moraines outward into the paleo-  
437 glacier fore field forming a dense network of braided channels that can be traced from the moraine  
438 lobes for 4 to 5 km down valley before becoming covered by younger aeolian sand. A thin (10-20  
439 cm) brownish to reddish soil has developed on this glacial outwash plain and the modern Cho Oyu  
440 and Lapchi Rivers are currently cutting into this plain.

441

#### 442 **4.5 Radiocarbon and luminescence chronology**

443 Six samples were submitted for radiocarbon dating of which five yielded sufficient carbon  
444 after ABA treatment and combustion for subsequent AMS measurement (**Table 1**). For sample R6  
445 the carbon yield was very low (<1%) and the radiocarbon age is therefore deemed unreliable.  
446 Sample R5x is a replicate sample of sample R5, and the latter has (in addition to hand picking of  
447 macroscopic contaminants) also seen floatation treatment in order to remove tiny rootlets that  
448 might go undetected via a hand picking approach. The age discrepancy of ca. 2.3 ka between both  
449 samples is likely to result from these different pre-treatment steps and suggests that modern rootlet  
450 contamination was not completely removed from sample R5x. Hence, sample R6 and R5x are  
451 omitted from the stratigraphic logs in **Figure 4**.

452 Nineteen sediment samples were collected for OSL dating from logged outcrops at Su-re  
453 (**Figure 4**). A typical OSL decay curve and dose-response curve are shown in **Fig. 7**. Dose recovery  
454 results for 31 aliquots from samples Tin3, 5, 6, 9, 10, and 11 yield measured/given dose ratios  
455 consistent with unity ( $1.08 \pm 0.04$ ) with recycling ratios of  $1.00 \pm 0.03$ , recuperation values of

456 1.25±0.17%. These results indicate that the SAR procedure can accurately estimate known radiation  
457 doses for samples from Su-re.

458 Equivalent dose, dose rate, and age data for OSL samples are shown in Table 2.  
459 Overdispersion values range from 0 to 48% (20±6% on average). Given the generally low  
460 overdispersion values and consistent radial plots (Fig. 7) for multi-grain aliquot De data, the ages for  
461 most samples were calculated using De values determined using the CAM (Galbraith et al., 1999;  
462 Galbraith and Roberts, 2012). However the data for two samples suggest that this approach may not  
463 appropriately yield an accurate depositional age. The two samples with the highest overdispersion  
464 values (i.e., TIN13 and TIN4y) have De distributions with two apparent populations of grains (Fig. 7)  
465 and yield CAM ages that are stratigraphically inconsistent. However, when a weighted mean is  
466 calculated for each population in these two samples using the CAM (Table 2), the resulting ages that  
467 are based on the main De population are stratigraphically coherent. Neither the form of the De  
468 distributions nor the sediment transport mechanism for these samples (both are of aeolian origin)  
469 make partial bleaching a likely explanation for the observed De outliers and we thus suggest post-  
470 depositional mixing of older and younger sedimentary units as the more plausible explanation. For  
471 sample TIN13 the high De component comprises two aliquots only and we suppose that intrusive  
472 high-De grains from the deeper Pleistocene cryoturbated deposits are the underlying reason for  
473 these outliers. Sample TIN4y was obtained from 40 cm depth in log F-1, where modern rootlets were  
474 frequently observed. Modern rootlet contamination was also identified as the cause for age  
475 underestimation of the adjacent radiocarbon sample R5X. We thus suspect that modern grains were  
476 mixed into the underlying Bv horizon from where OSL sample 4y was obtained, hence that the high  
477 De component is representing the burial event and was consequently used for age calculation.

478 Table 3 shows equivalent dose, dose rate, and age data for pIRIR290 data from four pottery  
479 sherds. Three of these ceramics (C22, C23a, and C23b) were collected from an outcrop along the  
480 river, ~40 cm below the surface (log R-2; Fig. 2 and 4), while C28 was collected from the surface of  
481 terrace 2 ~64 m northwest of log R-3 (Fig. 2).

482 Dose recovery and residual measurements were performed; following a 600°C bleach (the  
483 approximate temperature of pottery firing) half the aliquots were measured, yielding a residual  
484 consistent with zero. The other half of the aliquots were given a 3.9 Gy surrogate natural dose and  
485 were then measured using the pIRIR290 SAR procedure. Measured/given dose ratios consistent with  
486 unity indicate the measurement protocol can accurately estimate known doses. De values were  
487 measured for between four and 12 aliquots for each sample, which yield ages of 0.86±0.05 ka  
488 (TIN22), 0.73±0.05 ka (TIN23a), 0.78±0.05 ka (TIN23b), and 0.50±0.05 ka (TIN28).

489

#### 490 4.6 Cosmogenic radionuclide concentrations

491 The AMS-measured <sup>10</sup>Be concentrations in all five samples from glacial boulders range  
492 between ~0.8 to 1.7 x 10<sup>6</sup> atoms/g while <sup>26</sup>Al concentrations range from 1.9 to 7.6 x 10<sup>6</sup> atoms/g  
493 (Table 4). The resulting calculated zero-erosion surface exposure ages vary between 11.8±1.3 ka and  
494 26.7±2.4 ka based on <sup>10</sup>Be concentrations, and between 4.9±0.6 ka and 19.2±1.8 ka for <sup>26</sup>Al  
495 concentrations (Table 4).

496

## 497 5. Discussion

498

499

### 500 5.1 Late Pleistocene permafrost and (peri)glacial activity and concomitant valley floor evolution

501 The debris covered slopes in the Su-re area show clear evidence for slow mass wasting  
502 processes under both, periglacial and/or permafrost conditions, including solifluction lobes, striped  
503 slopes, rectilinear slope morphologies and rock glaciers. Periglacial differ from permafrost processes  
504 in as far as both being frost related processes, the latter involving either sporadic, discontinuously or  
505 continuously frozen ground conditions all year round (French and Thorn, 2006). Solifluction lobes  
506 and striped slopes are commonly interpreted as a periglacial creep phenomenon (Matsuoka et al.,  
507 1997; French, 2007; French and Bjornson, 2008). Field observations and satellite imagery support  
508 the interpretation of striped slopes at Su-re as a periglacial creep phenomenon. We observe a spatial  
509 relationship between stripes and outcrops of colored host rock, suggesting that striped slopes result  
510 from sliding of debris originating from in-situ weathering of differently colored host rock in up-slope  
511 positions (Jahn, 1985; Church et al., 1979; Matsuoka et al., 1997). At the other hand, debris covered  
512 rectilinear slopes and talus-derived rock glaciers (i.e. perennially frozen and ice-rich debris on non-  
513 glacierised mountain slopes) develop under permafrost conditions only (e.g. Jahn, 1985; Iwata, 1987;  
514 French, 2007; Haeberli et al., 2006). Our field and remote sensing observations show that in the  
515 northern foothills of the Mount Everest-Cho Oyu massive rectilinear slopes and talus-derived rock  
516 glaciers are ubiquitous, and that the rock glacier fronts from the Su-re area range in altitude from  
517 ~5470 - 4450 m asl. (SOM 2).

518 Published palaeoclimatic data from High Asia allow us to gain deeper insights into the  
519 modern versus Late Pleistocene permafrost dynamics on the TP, relevant for understanding the  
520 morphodynamics at Su-re. Permafrost research in High Asia suggests that the current lower limit for  
521 discontinuous permafrost is broadly situated at ~4980 m in the Nepalese Himalaya (i.e. adjacent to  
522 Su-re; Ishikawa et al., 2001; Jones et al., 2018) and at ~4800 m asl. in the south-central part of the TP  
523 (Wang and French, 1995b, Zhou and Guo, 1982). For mountain ranges in continental climate settings  
524 (including the Alps, the Himalaya, Karakoram, Tien Shan and Tibet) it has been demonstrated that  
525 the lower limit of discontinuous permafrost broadly coincides with the ~-2°C isotherm for mean  
526 annual air temperature (Haeberli, 1983; Shi and Li, 1989; Barsch, 1992; Ishikawa et al., 2001;  
527 Mitchell and Taylor, 2001; Blöthe et al., 2019). In other words, at Su-re the ~-2°C isotherm and thus  
528 the lower limit of discontinuous permafrost and thus intact rock glacier fronts should roughly lie at  
529 ~4800 - 4980 m asl. Various authors have estimated that the mean annual air temperature for the TP  
530 was ~-2°C lower during the LGM compared to today (Kirchner et al., 2011; Heyman et al., 2014) and  
531 about 2.8°C lower in the Shisha Pangma area (~80 km west of Su-re; Schäfer et al., 2008). Using an  
532 adiabatic lapse rate of ~0.85°C/100 m (calculated for southern Tibet; Kattel et al., 2015) or  
533 0.62°C/100 m (derived for the Shisha Pangma area; Schäfer et al., 2008) results in a depression of  
534 the discontinuous permafrost zone by ~235 - 452 m (344±109 m) during the LGM compared to  
535 today. The lowest and highest absolute estimates for discontinuous permafrost occurrences and by  
536 implication rock glacier activity during the LGM in the Su-re area thus range from ~4456 - 4745 m

537 asl. Such a depression of the permafrost zone would be sufficient to almost establish discontinuous  
538 permafrost conditions in the floodplain of Su-re (at 4435 m asl.), and would certainly greatly  
539 enhance permafrost and periglacial processes on the hillslopes above Su-re on a catchment scale.

540 The rock glaciers that have been mapped in the course of this study (SOM 2), broadly  
541 support these estimates of altitudinal shifts of the permafrost zone: the group of intact rock glaciers  
542 with a median altitude of  $4963\pm 164$  m coincides with modern permafrost estimates of Wang and  
543 French (1995b), Zhou and Guo (1982) or Jones et al. (2018). The group of relict rock glaciers (median  
544 altitude  $4578\pm 141$  m) falls within the calculated range of discontinuous permafrost depression for  
545 the LGM (i.e. 4470 – 4740 m asl). The underlying assumption is that the relict rock glaciers at Su-re  
546 can indeed be assigned to the LGM. A more complete rock glacier inventory and more robust age  
547 constraints of intact and relict rock glaciers are needed to substantiate such estimates and  
548 palaeoclimatic inferences. Nevertheless, these calculations highlight both, the magnitude and the  
549 potentially important role that shifts in permafrost zone might play for the landscape dynamics at  
550 Su-re.

551 OSL dating reveals that the Su-re sedimentary record covers the time interval since the latest  
552 Pleistocene. The OSL ages of  $26.2\pm 2$  ka and  $23.4\pm 1.5$  ka (pit-H1), and  $26.6\pm 1.7$  ka and  $18.4\pm 1.0$  ka  
553 (base of pit-H2) obtained on organic-free and soliflucted sediments from a hillslope toe position  
554 indicate that periglacial and permafrost activity (cryoturbation, solifluction) was strong during the  
555 global Last Glacial Maximum (gLGM, Clark et al., 2009; Figs. 3, 4 and Table 2). Three of the four OSL  
556 ages overlap within uncertainties with the onset of gLGM (ca. 26 ka; Clark et al., 2009). Similarly, an  
557 ice wedge cast with an OSL age of  $14.0\pm 0.9$  (log G-1A) as well as organic-free and cryoturbated  
558 sediments with OSL ages of  $11.1\pm 0.7$  ka (log F-1),  $10.9\pm 0.8$  ka (log Tv-1) and  $11.1\pm 0.7$  ka (log R-1; Fig.  
559 4 and Table 2) also suggest strong periglacial activity and permafrost occurrence subsequent to the  
560 gLGM, with a noticeable clustering of OSL ages just prior and at the very beginning of the Holocene.

561 The OSL age of sample TIN 14 suggests that the clast supported fluvial gravels along the river  
562 terrace outcrops (log R1 to 4) have been deposited ca.  $25.7\pm 1.6$  ka ago (Fig. 4). The poor sorting and  
563 high percentage of sub-angular clasts in combination with the clast lithologies (all clasts are derived  
564 from the Tibetan Sedimentary sequence) imply short transport distances and local sediment sources  
565 from tributaries immediately upstream of the Su-re site. These sedimentary and geochronological  
566 data suggest enhanced solifluction and permafrost creep on the hillslopes around the Tingri graben  
567 up to an altitude of ca. 5500 m asl (i.e. the altitude of the local hillslope crests), and a resulting  
568 excess of coarse sediment in the river valleys early during the gLGM, which in turn forced local rivers  
569 to aggrade. This interpretation is in line with the OSL ages of  $26.2\pm 2$  ka and  $23.4\pm 1.5$  ka from pit-H1,  
570 and  $26.6\pm 1.7$  ka from pit-H2 where organic-free and soliflucted sediments at the hillslope toe at Su-  
571 re suggest strong periglacial activity at the onset of the gLGM (Fig. 3 and 4).

572 The Cho Oyu and Lapchi moraine lobes are both massive hummocky moraines with identical  
573 geomorphic and sedimentological characteristics, suggestive of a simultaneous advance of debris  
574 covered glaciers from the Cho Oyu and the Lapchi massifs (Benn and Owen, 2002; Benn et al., 2003).  
575 Both hummocky lobes lack a distinct latero-frontal moraine ridge, which we interpret as evidence for  
576 a single and short lived glacial advance. Paleo-meltwater channels that radiate out from these  
577 hummocky lobes merging into an ancient outwash plain support this interpretation. Further up-  
578 valley morphologically distinct lateral moraine ridges evolve from these hummocky lobes. From a



579 geomorphological point of view, it appears that these lateral moraines and the hummocky moraine  
580 belong to a single advance representing the local last glacial maximum. Five boulders from the Cho  
581 Oyu hummocky moraine surface were sampled in our study and  $^{10}\text{Be}$  based apparent CRN surface  
582 exposure ages range from ca. 12 to 27 ka while  $^{26}\text{Al}$  based apparent CRN surface exposure ages  
583 range from ca. 5 to 19 ka (Table 4; Fig. 6). These ages seem to be in broad agreement with (i)  
584 previously published gLGM exposure ages of Chevalier et al. (2011) from CRN dated boulders (mean  
585 age of  $25\pm 2$  ka, using scaling of Lifton (2005); no outliers identified) from the left lateral moraine that  
586 connects with the Cho Oyu hummocky moraine (Fig. 6), and (ii) a geomorphological model for  
587 hummocky moraine evolution based on 75 CRN dated boulders from the Pamir (Zech et al., 2005).  
588 However, these authors have only measured cosmogenic  $^{10}\text{Be}$  in their samples, and thus cannot  
589 exclude the presence of complex exposure histories (Chevalier et al., 2011). Our concentrations from  
590 both  $^{26}\text{Al}$  and  $^{10}\text{Be}$  therefore – for the first time along the northern slopes of the Himalaya – provide  
591 an opportunity to test the assumption of simple, steady-state erosion scenarios for boulders on  
592 debris covered moraines coming down from the Himalaya. When combined,  $^{10}\text{Be}$  concentrations and  
593 the  $^{26}\text{Al}/^{10}\text{Be}$  in our five Cho Oyu samples in fact show significant deviation from the steady-state  
594 erosion line implying complex exposure histories with burial on the order of 1 Ma for sample Oyu-  
595 126 ('banana plot'; SOM 4). In addition, sample Oyu-128 plots into a "forbidden" zone above the  
596 steady-state erosion line. In combination these results may either (i) hint to the presence of long-  
597 term burial and storage of glacial boulders in one of the most dynamic geomorphic environments in  
598 the world, or (ii) provide further evidence for the presence of erosional transience and time-varying  
599 erosion rates (Knudsen and Egholm 2018). The latter could be caused, e.g. due to the presence of  
600 accelerated erosion events such as deep plucking, extremely high uplift and exhumation rates,  
601 and/or temporally highly variable ice thicknesses. While all processes have a realistic potential for  
602 influencing geomorphic processes in the Cho Oyu valley, our results and considerations will benefit  
603 from further work and modelling, but serve here to shed a note of caution on exposure ages based  
604 on a single nuclide alone in the highly dynamic geomorphic environments of the Himalaya.

605

606

## 607 **5.2 Morphodynamics during the Early to Mid-Holocene (11.7 to ca. 4 ka BP)**

608 The sedimentary record suggests that during the onset of the Holocene the Su-re area  
609 continued to be devoid of any notable vegetation cover and experiencing intensive periglacial  
610 activity, as indicated by the OSL dated cryoturbated and organic-free sediments in log F-1 ( $11.1\pm 0.7$   
611 ka), log R-1 ( $11.1\pm 0.7$  ka) and log Tv-1 ( $10.9\pm 0.8$  ka; Fig. 4), i.e. conditions akin to the gLGM. Mid-  
612 Holocene radiocarbon ages, clustering at ca. 6.4 ka cal. BP, were obtained from the blackish organic-  
613 rich horizons that stratigraphically follow above these organic-free periglacial deposits (Fig. 4 and 5c;  
614 Table 1). These organic horizons only occur in local depressions or along gullies, where water  
615 availability and soil moisture were probably enhanced, at least on a seasonal base. In terms of the  
616 palynomorph content, only *Glomus*-type spores were recovered in significant numbers from these  
617 organic-rich horizons (samples P1, P5, P6; Fig. 4). *Glomus*-type spores are produced by soil fungi that  
618 grow in symbiotic association with green plants and are much more resistant to decomposition  
619 compared to most other spore or pollen grains that decay (oxidize) rapidly in soils. The other  
620 palynomorphs extracted from these organic-rich horizons hint towards moist conditions (e.g.

621 presence of fern spores or *Arcella* - a genus of amoebae, typical in freshwaters and mosses, but rare  
622 in soils) and the presence of conifers (e.g. *Picea* pollen and conifer wood fragments). These  
623 geomorphological, sedimentological and palynological observations suggest that the organic-rich  
624 horizons partly derive from eroded soil material that has been washed into topographic lows, where  
625 locally moist conditions facilitated plant growth and organic (sometimes peat-like) material to  
626 accumulate. It is noted that the modern landscape at Su-re lacks any shrubs or tree stands, but that  
627 palynomorphs indicate the presence of *Picea* ca. 6.7 ka ago (samples P1 and P5).

628 A discontinuity of ca. 4 to 5 ka exists between deposition of the periglacial and organic-free  
629 deposits of the earliest Holocene and these organic sediments. The exact nature of this discontinuity  
630 at Su-re is currently unclear and might either (i) represent a prolonged period of non-deposition or  
631 (ii) result from erosion due to enhanced surface run-off in response to increased monsoon intensity  
632 during the early Holocene. Looking at the stratigraphic evidence (i.e. organic-rich sediment  
633 accumulation in topographic depressions starting not before 6.7 ka followed by pedogenesis) we  
634 favor interpretation (i). We thus hypothesize that the regional paleoenvironmental conditions  
635 turned warm and moist enough only during the Mid-Holocene, initiating widespread vegetation  
636 growth and soil formation on a probably up to that point still largely barren landscape.

637 Four OSL samples have been taken from the Bv horizon that developed stratigraphically  
638 above the organic-rich sediments and the corresponding optical ages range from  $6.4 \pm 0.4$  ka to  
639  $3.9 \pm 0.4$  ka (Fig. 4 and 5c; Table 2). In each sediment log all OSL and radiocarbon ages are in  
640 stratigraphic order and the Bv horizon acts as a prominent marker horizon allowing for stratigraphic  
641 correlation across distant outcrops.

642 Further sedimentological and pedological observations can be made and are relevant for our  
643 interpretation of the Bv horizon at Su-re: The Bv horizon is sandy-silty and either completely devoid  
644 of large clasts (log G-1A, F-1, F-A1) or reveals a fining-upward trend and an overall significantly lower  
645 clast concentration compared to the underlying Pleistocene sediments (log G-1B, G-2A, G-2B, Pit-H,  
646 Tv-1 and 2; Fig. 4). It is also slightly calcified in some places. Pedogenic processes were thus not  
647 simply penetrating into the pre-existing (coarse-grained and unsorted) Pleistocene underground. In  
648 combination, our observations suggest that soil formation was taking place while sandy to silty  
649 sediment was gradually accumulating on the hillslopes of Su-re starting from ca. 6.7 ka onward. This  
650 is also reflected by our OSL samples in the Bv horizon that all come from slightly different  
651 stratigraphic positions within the up to 50 cm thick Bv horizon. The spread in the optical ages could  
652 thus be interpreted as evidence for continuous and likely cumelic pedogenesis throughout the  
653 middle Holocene (i.e. pedogenesis with contemporaneous accretion of sediment on the hillslopes  
654 over a timespan of ca. 2.5 ka).

655 An increase in moisture and development of a vegetation cover at that time likely facilitated  
656 (i) sheetwash processes by overland flow, and/or (ii) trapping of aeolian sand and silt that were  
657 constantly blowing out from the adjacent floodplains e.g. via katabatic winds. Accumulation and  
658 stabilization of aeolian sediments by vegetation and concomitant soil formation has been identified  
659 as an important mechanism in arid and semi-arid regions (e.g. Bateman et al., 2003; Leighton et al.,  
660 2014) and is also deemed relevant for the TP (Sun et al., 2007; Lu et al., 2011; Yu and Lai, 2014;  
661 Stauch, 2015). The Bv horizon is truncated by a sharp erosional boundary and an associated A  
662 horizon is not preserved in any of the sediment logs and was likely removed completely by erosion.

663 Furthermore, the reddish to brownish Bv horizons mapped on the Cho Oyu and Lapchi hummocky  
664 moraines and in the adjacent glacial outwash plain probably represent similar pedogenic processes  
665 and timing.

666 We thus suggest that an up to 50 cm thick pedo-complex evolved in the wider Su-re area in  
667 response to warmer and wetter climatic conditions from ca. 6.7 ka onward. Under these ameliorated  
668 climatic conditions an initial vegetation cover and associated A horizon (that has partly been eroded  
669 and deposited as organic-rich sediment horizons in local topographic depressions) formed that in  
670 turn facilitated trapping of aeolian sediment and furthered soil formation. Accumulation and  
671 stabilization of aeolian sediment via a relatively continuous vegetation cover continued during the  
672 Mid-Holocene under prevalent moist and warm climatic conditions until ca. 3.9 ka.

673

### 674 **5.3 Morphodynamics during the Late Holocene (ca. 3.9 ka to 0.5 ka BP)**

675 In the gully southwest of the ASF area (logs G-1A to G-2B; Fig. 4 and 5c) the Bv horizon is  
676 overlain by finely layered (mud flow-like?) slope wash deposits with an OSL age of  $0.61\pm 0.08$  ka  
677 (Table 2). In the outcrops situated along the hillslope (logs pit-H1 and H2, F-1 to F-2A, Bo-180, Tv-2)  
678 an aeolian cover sheet, frequently revealing accumulation of coarse clasts (a basal stoneline?),  
679 follows above the Bv horizon blanketing most of the landscape at Su-re. Four OSL ages (samples 5, 6,  
680 16 and 19; Fig. 4 and Table 2) constrain these aeolian sands to  $0.55\pm 0.08$  ka (central weighted mean  
681 age and standard deviation). The OSL samples 5 and 6 are from the base and near-top of the  
682 sediment log Bo-180 (Fig. 5d), where the aeolian cover sheet attains a thickness of 1.8 m and the  
683 OSL ages overlap within uncertainties, indicating rapid rather than gradual accumulation of these  
684 windblown sediments. OSL dating of the slope wash and aeolian deposits thus suggests significant  
685 landscape instability in the very recent geological past, i.e. approximately during the 15<sup>th</sup> century  
686 anno domini (AD.).

687 A sharp erosional boundary separates these slope wash and aeolian deposits from the  
688 underlying Bv horizon. This erosional boundary occurs in all outcrops and suggests a sudden change  
689 from stable and/or depositional to erosional morphodynamics subsequent to the formation of the  
690 Mid-Holocene pedo-complex (after  $3.9\pm 0.4$  ka BP.). A time gap of ca. 3.4 ka exists between this  
691 pedo-complex and the slope wash and aeolian units from the 15<sup>th</sup> century AD capping the pedo-  
692 complex. Blow outs have formed on the sparsely vegetated aeolian cover sheet and these sandy  
693 depressions are currently enlarged by wind erosion (Fig. 3). The 3.9 ka hiatus and 15<sup>th</sup> century  
694 landscape instability and their potential causes are further discussed in section 6.1

695

### 696 **5.4 Traces of human activity at Su-re**

697 A total of fourteen ceramic sherds were recovered from the Su-re area: samples C22 (two  
698 sherds), C23a, and C23b (six sherds) from a debris flow deposit in log R-2 and sample C28 (six sherds)  
699 from the surface of terrace 2 about 64 m northwest of log R-3 (Fig. 2, 4 and 5b; SOM 3). The sherds  
700 from C22, C23a and C23b are undecorated, have red external slips, a light brown interior slip, and  
701 have been tempered with a coarse grit. The single rim sherd from this context has a flaring, everted  
702 rim which is at a right angle to the vessel body. Finger smoothing can be observed on the flat upper

703 surface of the rim. All samples are between 5 and 9 mm thick. The surfaces of sample C22, C23a, and  
704 C23b are partly coated with secondary calcite, because they were embedded within a sedimentary  
705 deposit for some time. The C28 sample are all body sherds are undecorated with light brown  
706 exterior slips, unslipped and unburnished interiors, and a coarse fabric with large grit inclusions. One  
707 of the sherds is a broken vessel handle.

708 These observations suggest that the sherds represent utilitarian ceramic and the pIRIR290  
709 ages constrain their production (i.e. firing of the ceramic) to between 0.5 and 0.86 ka (Table 3).  
710 Similar ceramic sherds have been reported from the Yulai Cun 13-1 and the Zhongba 10-9 localities  
711 (Hudson et al., 2016), both situated in the upper Yarlung Tsangpo valley ~382 km and ~275 km  
712 northwest of Su-re, respectively. Hudson et al. (2016) assigned an age of  $5.0 \pm 0.2$  ka to the Yulai Cun  
713 ceramics that were recovered from a radiocarbon dated paleowetland deposit. The sherds from  
714 Zhongba are surface finds and are deemed to be ca. 1 to 1.4 ka old, based on post-IR blue OSL dating  
715 of the ceramic sherds (Hudson et al., 2016).

716 The cm-sized, well-rounded and mostly spheroid-shaped migmatites and leucogranites from  
717 the Greater Himalayan series that are scattered across the hillslopes of Su-re, and a high  
718 concentration in the ASF area, are erratic in nature (Fig. 3; Fig. 5e and f; Table 5). Based on lithology,  
719 they must have derived from the Cho Oyu floodplain ~1 km west of Su-re. No natural transport  
720 mechanism can be accounted for to explain (i) their position inside the Su-re catchment, that is  
721 composed of un-metamorphosed lithology only and reveals a very homogenous heavy mineral  
722 spectrum, and (ii) their stratigraphic position atop or within the aeolian cover sheet or atop the Mid-  
723 Holocene pedo-complex. Given this, it is likely that these spheroids are sling projectiles, a technology  
724 used by modern Tibetan pastoralists to herd their animals (Hummel and Vogliotti, 2000; Vega and  
725 Craig, 2009) or fragments of broken hammerstones (Table 5). The interpretation that at least some  
726 of these erratic clasts represent sling-shot projectiles is supported by analysis of the ballistic  
727 properties of sling projectiles, suggesting that ideal projectiles are spheroid-shaped with an average  
728 diameter of ~5 cm and a weight between 0.25 and 1.25 kg (Vega and Craig; 2009; Wilson et al.,  
729 2016). With few obvious exceptions (e.g. sample 013, 018 and 020; hammerstones?) most erratic  
730 clasts from Su-re match these criterions; they have an average diameter of ~5 cm and weight  
731 between 0.5 and 1.7 kg (average weight 1.0 kg, n=14; Table 5).

732

## 733 **6 Past climate variability on the Tibetan plateau and landscape responses at Su-re**

734 The landscape at Su-re and in the Tingri graben clearly recorded significant changes in  
735 morphodynamics over the last ca. 26 ka. These local to regional morphodynamic changes have a  
736 range of implications for high-altitude ecosystems as well as for peopling such extreme  
737 environments and need to be placed into a supra-regional paleoclimatic and paleoenvironmental  
738 context. However, the relationship of local or regional geomorphic change to supra-regional Late  
739 Quaternary climate and paleoenvironmental changes are potentially complex in nature and require  
740 further discussion, that is also summarized visually via Figure 8.

741 Past and present climates of the TP are strongly affected by two major atmospheric  
742 circulation systems: the Asian monsoon system and the mid-latitude westerlies. The westerlies  
743 transport moisture from the North Atlantic across Eurasia to the TP, mainly during winter and spring.

744 The Asian monsoon is a boreal summer phenomenon advecting heat and moist air masses into the  
745 interior of Asia between June and October from the Indian Ocean (via the Indian Monsoon branch)  
746 and the western Pacific Ocean (via the East Asian Monsoon branch), respectively (Cheng et al., 2012;  
747 Yao et al., 2013; Goswami and Chakravorty, 2018). Our understanding of the forcing mechanism of  
748 the Asian monsoon system is based on an increasing number of well-dated proxy records  
749 (particularly U-Th dated  $\delta^{18}\text{O}$  records from cave calcites) and modelling studies. Collectively, these  
750 data suggest that northern hemisphere summer insolation and thus solar heating of the Asian land  
751 mass is directly affecting the mean latitudinal position and structure of the intertropical convergence  
752 zone and thus Asian monsoon variability during the Pleistocene and the Holocene (Fig. 8; e.g.  
753 Fleitmann et al., 2007; Wang et al., 2008; Cai et al., 2012; Cai et al., 2015; Cheng et al., 2016;  
754 Kathayat et al., 2016). Weak Asian monsoon intervals are accompanied by cooling events in the  
755 North Atlantic during which times the mid-latitude westerlies gain importance as moisture source  
756 for the TP, and different teleconnections between the Asian and the North Atlantic realm have been  
757 suggested (Vandenbergh et al., 2006; Cheng et al., 2009; Barker et al., 2011; Sinha et al., 2011;  
758 Kathayat et al., 2016).

759 While numerous continuous proxy records for Pleistocene monsoon variability from the  
760 wider Asian monsoon realm exist, very little such continuous data are available for the central high  
761 elevation portion of the TP, particularly for periods prior to the gLGM. The exceptions are a (semi-  
762 continuous) speleothem  $\delta^{18}\text{O}$  record from Tianmen Cave (Cai et al., 2010; Cai et al., 2012) and a  
763 lacustrine record from Nam Co Lake (Zhu et al., 2015), both situated on the south central TP ~450  
764 km northeast of Su-re. The Tianmen record covers the Marine Isotope Stages (MIS) 5e, 5c and 5a,  
765 indicating that during the last interglacial and subsequent interstadials the ISM intensity and  
766 temperature were high enough to facilitate precipitation of cave calcite at ~4800 m asl (Cai et al.,  
767 2010). The study of Zhu et al. (2015) spans the past 24 ka and suggests a strong influence of the  
768 westerlies under a cold and dry climate between 24 and 16.5 ka and an increasing influence of the  
769 ISM thereafter, which brought about increasingly warmer and wetter climatic conditions to the  
770 central TP.

771 The Tianmen and Nam Co records demonstrate the importance of the Asian monsoon and  
772 the mid-latitude westerlies as well as of northern hemisphere temperature changes for Tibet. Such  
773 orbital to millennial scale changes in temperature and hydroclimatic conditions are also impacting  
774 on Himalayan and Tibetan glaciers and reflected in the Quaternary glacial history of the Cho Oyu-  
775 Everest massif. Owen et al (2009) constrained the depositional age of four glacial stages in the  
776 Rongbuk valley on the northern flank of Mount Everest ~34 km southeast of Su-re (Fig. 1 and 8;  
777 Jilong: 24-27 ka, Rongbuk: 14-17 ka, Samdupo, subdivided into Samdupo I: 6.8-7.7 ka and Samdupo  
778 II: ca. 2.4 ka and Xarlungnama: ca. 1.6 ka) and correlated these stages with moraines from the  
779 southern slopes of Everest. They also observed an absence of early Holocene glacier advances north  
780 of Mount Everest. The data from Owen et al (2009) thus suggest that glaciers in the Rongbuk valley  
781 are topographically sheltered (largely cut off from the influence of a strong ISM during e.g. the early  
782 Holocene), and hence reveal a greater sensitivity to northern hemisphere cooling signals, compared  
783 to the monsoon dominated glaciers at the southern flank of the Everest massif.

784 For the Holocene numerous and (semi-)continuous monsoon proxy records from the TP and  
785 the adjacent Himalaya are available, against which the Su-re sediment record can be compared.  
786 Most of these records are based on lacustrine archives (e.g. Morrill et al., 2003; Shen et al., 2008;

787 Mügler et al., 2010; Wünnemann et al., 2010; Rades et al., 2013; Bird et al., 2014; Hudson et al.,  
788 2015; Huth et al., 2015; Li et al., 2016; Shi et al., 2017; Conroy et al., 2017) and in a few cases on  
789 speleothem  $\delta^{18}\text{O}$  records (Cai et al., 2012; Kathayat et al., 2017). These data indicate (i) a  
790 precipitation maximum in the early Holocene, as boreal summer insolation peaked, followed by (ii) a  
791 decline in precipitation through the mid- to late-Holocene in tandem with the decreasing northern  
792 hemisphere summer insolation and a southward migration of the intertropical convergence zone,  
793 and (iii) millennial to centennial scale patterns in most of these proxies, often expressed as  
794 punctuated droughts or prolonged monsoon weakening events. Among these events is a prominent  
795 weakening in monsoon strength between ca. 3.9 and 4.2 ka, which is recorded in several of the afore  
796 mentioned archives from the TP (Morrill et al., 2003; Shen et al., 2008; Mügler et al., 2010;  
797 Wünnemann et al., 2010; Cai et al., 2012; Bird et al., 2014; Shi et al., 2017) and also documented in  
798 many other ISM records beyond the TP (e.g. Staubwasser et al., 2003; Fleitmann et al., 2007; Dixit et  
799 al., 2014; Donges et al., 2014).

800 As far as the modern climatic regime of the TP is concerned, stable isotope measurements in  
801 precipitation suggest two distinct climatic regions, with a boundary approximately along the  
802 southern Tanggula Mountains (Fig. 1). In the southern region, the influence of the ISM gradually  
803 increases southward, while in the northern region, the climate is dominated by westerlies and  
804 continental air masses (Tian et al., 2001; Yu et al., 2008; Yao et al., 2013). These isotopic data (Tian et  
805 al., 2001; Yao et al., 2013) as well as satellite observations (Tropical Rainfall Measurement Mission;  
806 Bookhagen and Burbank, 2010; Hudson and Quade, 2013) and high-resolution atmospheric datasets  
807 (High Asia Reanalysis; Maussion et al., 2014), suggest that modern precipitation in southern Tibet,  
808 including Su-re, is mostly transported along the Brahmaputra River valley and advected across  
809 Himalayan passes via the ISM.

810 Given the geographic position of Su-re at the southern rim of the TP and immediately north  
811 of Nangpa La and the modern monsoon trajectories, it is plausible to suggest that the ISM plays an  
812 important role in controlling moisture availability and thus affecting earth surface processes in  
813 southern Tibet not only today, but also during the Holocene and the Pleistocene. A high sensitivity of  
814 earth surface processes and the cryosphere to changes in ISM intensity during the Late Pleistocene  
815 and Holocene has already been demonstrated by e.g. Owen et al. (2009) and Wang et al. (2017) for  
816 southern Tibet. From our data collected in Su-re and the Tingri graben we infer three types of  
817 monsoon related millennial to centennial scale landscape responses involving specific interactions  
818 between monsoonal climate and geomorphological agents: (i) monsoon-vegetation-soil interactions,  
819 and monsoon governed interactions between (ii) soil moisture and permafrost and (iii) between  
820 hydro-climate and sediment transport. These climatic-geomorphological interrelations are discussed  
821 in the following and placed into a regional paleoclimatic and paleoenvironmental context.

822

### 823 **6.1 Climate-vegetation-soil interactions**

824 A pedo-complex at Su-re evolved from ca. 6.4 ka until 3.9 ka BP and reflects slow sediment  
825 accretion on hillslopes with contemporaneous (cumulic) soil formation leading to a marked Bv  
826 horizon containing evidence for the presence of *Picea* ca. 6 ka ago (Fig. 8). Data on aeolian activity  
827 and pedogenesis in southern Tibet are relatively scant compared to the north and northeastern  
828 sectors of the plateau (Stauch, 2015). However, the available studies suggest enhanced aeolian sand

829 accumulation in southern Tibet from 31.6 to 12.7 ka and from 9.2 to 6.2 ka, with an eventual peak in  
830 aeolian activity at 7.5 ka (Stauch, 2015). This fits our observation based on the Su-re sedimentary  
831 record, suggesting that until ca. 6.4 ka the landscape of Su-re was largely vegetation free and  
832 characterized by permafrost and periglacial activity. Currently, only one study provides age  
833 constraints on pedogenesis in southern Tibet; i.e. Pan et al. (2013) investigated aeolian sand  
834 deposits in the Dinggye area ca. 120 km east of Su-re and report a main period of pedogenesis from  
835 6.6 to 4.9 ka BP in agreement with our observations. Data from Su-re and the adjacent Dinggye area  
836 thus hint towards favorable (warm and wet) paleoenvironmental conditions allowing for an  
837 increased vegetation cover with tree stands of *Picea* for the duration of several millennia during the  
838 mid-Holocene. We argue that an increase in monsoon related effective precipitation is causing the  
839 vegetation cover to expand thus stabilizing the landscape, allowing aeolian (and/or slope wash)  
840 sediments to become trapped, and resulting in the formation of a cumulic soil. A reversal of these  
841 processes in the case of a long-lasting negative effective precipitation regime contributes to  
842 landscape and soil degradation and thus a negative bio-pedogenetic feedback loop (i.e. erosion).

843 The Mid-Holocene pedo-complex at Su-re is truncated by a hiatus at  $3.9 \pm 0.4$  ka BP (Fig. 8).  
844 This date coincides with the prominent weakening in monsoon strength between ca. 3.9 ka and 4.2  
845 ka discussed above (Morrill et al., 2003; Shen et al., 2008; Mügler et al., 2010; Wünnemann et al.,  
846 2010; Cai et al., 2012; Bird et al., 2014; Shi et al., 2017) and initiated a negative bio-pedogenetic  
847 feedback loop. This reasoning is in-line with observations of Pan et al. (2013), who report enhanced  
848 aeolian activity in the Dinggye area since 2 ka BP and the development of moving dunes due to an  
849 increasingly cool and dry climate. Furthermore, we note that the glacial advances Samdupo I (7.7 -  
850 6.8 ka) and Samdupo II (2.4 ka) in the Rongbuk valley (Owen et al., 2009) occurred immediately  
851 before and after the phase of mid-Holocene soil formation at Su-re (3.9 to 6.4 ka BP). The Samdupo  
852 glacial stages with their supposed link to northern hemisphere cooling (Owen et al., 2009) are thus  
853 bracketing the Su-re pedo-complex (Fig. 8), for which we suggest comparatively milder and wetter  
854 climatic conditions.

855

## 856 6.2 Soil moisture-permafrost interactions

857 Our optical ages from the organic-free and cryoturbated sediments of Su-re fall into two  
858 groups: one covers the time range from 18–26 ka, and thus coincides with the gLGM; the second  
859 group clusters at 11 ka, and thus coincides precisely with the beginning of the Holocene (Fig. 8). No  
860 sedimentary evidence for intensive cryoturbation during the rest of the Holocene has been found.  
861 We propose that a causal mechanism between monsoon intensity, soil moisture and permafrost  
862 and/or periglacial activity can account for this pattern. The arid character of the TP results in an ice-  
863 poor and thin permafrost layer, where mid-portion contraction of the active layer does not occur to  
864 the same extent as in high latitudes, which in turn is suppressing permafrost features and periglacial  
865 processes on the plateau (Wang and French, 1995b; Wang and French, 1995c). Variation in soil  
866 moisture availability in such arid settings should thus impact on the ice-content of the active layer  
867 and periglacial and permafrost related process rates. In this model that has been indirectly  
868 suggested by Wang and French (1995b) already, wetter conditions on the TP will cause  
869 cryoturbation, frost heave and solifluction processes to intensify and valley slope denudation to  
870 accelerate. At Su-re, the ISM maximum of the early Holocene would have caused a sudden increase

871 of soil moisture and thus ice-content of the active layer in the hill slopes of Su-re, triggering an  
872 increase in periglacial and permafrost processes. Further warming and a gradual decrease in  
873 moisture availability over the course of the Holocene counteracted this initial intensification of  
874 permafrost processes. We argue that this speed-up/intensification of periglacial processes during  
875 the early Holocene was a transient phenomenon. On the other hand, our data also demonstrate that  
876 under cold and dry climatic regime of the gLGM on the TP (Cai et al., 2010; Cai et al. 2012; Zhu et al.,  
877 2015), cryoturbation and solifluction was ubiquitous at Su-re, regardless of the (probably strongly  
878 reduced) soil moisture availability during this time.

879 For Su-re we calculated a depression of ~240 – 330 m for the discontinuous permafrost zone  
880 during the gLGM relative to today (section 5.1). Thus, the lower limit of discontinuous permafrost  
881 would have shifted from ~4980 – 4800 (today) to ~4470 – 4740 m asl. (gLGM) and eventually Su-re  
882 itself (4450 m asl.), but certainly the surrounding hill slopes would have been entirely integrated into  
883 the discontinuous permafrost belt during the gLGM. In combination with (even slightly) enhanced  
884 moisture transport onto the TP by the westerlies during the gLGM compared to today (e.g.  
885 Vandenberghe et al., 2006, Zhu et al., 2015) the ubiquitous occurrence of sedimentary periglacial  
886 and permafrost features of Su-re dating into the gLGM can be explained.

887

### 888 **6.3 Hydro-climate - sediment transport interactions**

889 Via OSL dating we constrained the age of most of the organic-free hillslope sediments at Su-  
890 re and the fluvial terraces next to the ASF area to the last gLGM (section 5.1). CRN dating of the Cho  
891 Oyu lateral moraine (Chevalier et al, 2011) and the Jilong glacial stage at the north face of Everest  
892 (~34 km southeast of Su-re; Owen et al., 2009) suggest a major glacial advance in the Cho Oyu-  
893 Everest massif between 24 and 27 ka (Fig. 8). The gLGM in the wider Su-re area was thus  
894 characterized by enhanced hillslopes dynamics, a lack of vegetation and widespread glacial advance.  
895 In combination with a generally weak ISM during the gLGM (e.g. Wang et al., 2008; Kathayat et al.,  
896 2016), hence low fluvial discharge rates, instantaneous and strong valley floor aggradation in the  
897 Tingri graben and its tributaries was the result (Fig. 8). A strong correlation between climate and  
898 river activity on orbital to sub-orbital timescales, with fluvial aggradation and incision being linked to  
899 cold and warm climatic periods, respectively, has long been established (e.g. Maizels, 1979), but  
900 more complex control mechanism for terrace formation have been invoked too (e.g. Pratt-Sitaula et  
901 al., 2004; Rixhon et al., 2011). On these timescales the relevant controlling factors on fluvial  
902 dynamics include river run-off (stream power), sediment supply, vegetation cover and permafrost  
903 conditions, and each of these factors is in turn influenced by the catchment-scale climate (e.g.  
904 Vandenberghe 2002; Vandenberghe 2003; Pratt-Sitaula et al., 2004).

905 Subsequent to the gLGM river incision lowered the Su-re valley floor by ~10-20 m and left  
906 the fill-terrace (T3) and cut-terraces (T1 and T2; Fig. 2). We speculate that only the strengthening of  
907 the ISM during the early Holocene provided enough stream power to start and accomplish this  
908 incision process. Such a mechanism of major river incision and terrace formation steered by the ISM  
909 during phases of maximum monsoon strength has been demonstrated by Wang et al. (2017) for the  
910 upper Sutlej valley (Tirthapuri, ~640 km east of Su-re) in the arid southwestern TP, already. Wang et  
911 al (2017) investigated a flight of fluvial terraces capped by travertine deposits and used U-Th and OSL  
912 dating to constrain major pulses of fluvial incision at Tirthapuri, to ca. 127.5 ka and between ca. 8.8



913 and 10.0, coincident with the last interglacial (MIS 5e) and the early Holocene ISM maxima.  
914 Furthermore, in Tirthapuri as in Su-re hillslope processes were enhanced during this time period as  
915 demonstrated by OSL dated alluvial fan deposits in Tirthapuri ( $9.1\pm 0.7$  ka; Wang et al., 2017) and  
916 intensification of periglacial hillslope processes at Su-re (at 11-10 ka; sections 5.2). Because the  
917 climatic setting and landscape dynamics of Tirthapuri and Su-re are fairly similar, we tentatively  
918 invoke the same monsoon-related incision process during the early Holocene at Su-re too.

919

## 920 7. A case for anthropogenically induced landscape degradation in the 15<sup>th</sup> century AD?

921 The sedimentary and geomorphological record of Su-re suggests that the time period  
922 subsequent to  $3.9\pm 0.4$  ka was characterized by erosion. The hiatus truncating the Mid-Holocene  
923 pedo-complex is attributed to the 3.9–4.2 ka monsoon weakening event (section 6.1). The aeolian  
924 cover sheet that blankets this pedo-complex and covers large parts of the landscape in the Tingri  
925 graben, as well as the alluvial fan deposit at Su-re, both ca. 0.5 ka in age, indicate another phase of  
926 landscape instability (section 5.3). We have no information about the landscape dynamics at Su-re  
927 between 0.5 and 3.9 ka.

928 It is tempting to correlate this youngest erosional phase at Su-re with the Little Ice Age (LIA;  
929 i.e. the past ca. 0.7 ka until 1950 AD.; Matthews and Briffa, 2005; Xu and Yi, 2014). In a high-  
930 resolution speleothem  $\delta^{18}\text{O}$  record from Sahiya cave, located at 1190 m asl in the southern foothills  
931 of the western Himalaya, ~860 km west of Su-re (Fig. 1a), the LIA cold period is particularly well  
932 resolved (Kathayat et al., 2017). Because of its position at the fringe of the ISM realm, the Sahiya  
933 record is deemed a sensitive indicator of ISM variability (Kathayat et al., 2017) and can thus be  
934 regarded as a good monsoon intensity proxy in the arid setting of Su-re too (Fig. 8). The Sahiya  
935 record covers the past 5.7 ka and chronicles the LIA as a prolonged phase of ISM weakening starting  
936 at ca. 0.8 ka attaining an absolute minimum at  $0.35\pm 0.02$  ka BP (~1593 to 1623 AD; Kathayat et al.,  
937 2017). In the wider Asian monsoon realm the onset of the LIA ca. 0.7 ka ago is accompanied by a  
938 series of long-term droughts (i.e. from the mid-14<sup>th</sup> to 15<sup>th</sup> centuries onward), of which the Sahiya  
939 megadrought at 0.35 ka BP is one of them the latter also coincides with the collapse of the Guge  
940 empire in western Tibet (Fig. 8; Kathayat et al., 2017; Sinha et al., 2011; Yadava et al., 2016).  
941 Nevertheless, droughts were not restricted to the LIA cooling period alone but occurred repeatedly  
942 during the late Holocene in the Asian monsoon regions (Sinha et al., 2011; Kathayat et al., 2017).  
943 Taking the central weighted mean age of the aeolian cover sheet and debris flow deposit and  
944 associated standard deviation ( $0.56\pm 0.08$  ka; 1376 to 1536 AD) the Sahiya megadrought but also  
945 droughts from the 14<sup>th</sup> and 15<sup>th</sup> century AD could be invoked for causing landscape degradation at  
946 Su-re.

947 While purely climatically induced landscape degradation is a plausible explanation for the  
948  $3.9\pm 0.4$  ka and  $0.56\pm 0.08$  ka (ca. 1376 to 1536 AD) erosional events, we believe that a series of  
949 observations from Su-re call for a more nuanced interpretation, particularly with regard to the  
950  $0.56\pm 0.08$  ka (ca. 1376 to 1536 AD) erosional events. The pottery sherds from Su-re are between  
951 0.50 and 0.86 ka in age (end of the 12<sup>th</sup> beginning of the 16<sup>th</sup> and century), and several are  
952 incorporated in the  $0.61\pm 0.08$  ka (ca. 1328 to 1488 AD) old debris flow deposit. The close match of  
953 the pottery and debris flow ages implies human presence during or immediately before the debris  
954 flow event. Also, the possible sling shot projectiles and other artifacts are all concentrated in the ca.

955 0.56±0.08 ka (ca. 1376 to 1536 AD) old aeolian cover sheet or occur in chronostratigraphic positions  
956 < 3.9 ka. These lines of evidence suggest human presence at Su-re subsequent to 3.9 ka BP and a  
957 potentially intensive anthropogenic use of the landscape prior to 0.56±0.08 ka (ca. 1378 to 1538 AD).  
958 Palynomorphs indicate the presence of *Picea* ca. 6 ka ago, whereas the modern vegetation lacks any  
959 shrubs or trees and is composed of a sparse and highly discontinuous grass cover only. The thickness  
960 (up to 1.8 m at Su-re) and spatial extent of the aeolian coversheet deposit further indicate that the  
961 destabilization phase is a high magnitude and regional event.

962 In combination, we hypothesize that (i) the Su-re and Cho-Oyu outwash plains and the  
963 climatic extremes that ramped up in the course of the LIA provide the underlying susceptibility to  
964 sand drifting in the Tingri graben, which was (ii) paired with a strong anthropogenic imprint that  
965 ultimately led to a strong erosional event. Similar environmental feedback mechanism involving  
966 aeolian activity and landscape degradation have been described for e.g. Medieval Europe (DeKeyzer  
967 and Bateman, 2018; Lungershausen et al., 2018; Pierik et al., 2018). In sensitive high-mountain  
968 ecotones such as the Himalaya or the TP, the overuse of wetlands and adjacent hillslopes by yak  
969 pastoralists (i.e. a setting such as Su-re) can trigger a non-linear regime shift in landscape dynamics,  
970 initiating widespread erosion (e.g. Löffler, 2000; Byers, 2005; Zhou et al., 2005). Furthermore, as a  
971 site associated to a wetland, Su-re also lies on the trade route linking Tibet with Nepal via Nangpa La,  
972 and might thus have already experienced above-average grazing pressure by nomads and merchants  
973 and their caravans on their way from north to south and vice versa, making sites like Su-re  
974 particularly susceptible to climatically induced soil degradation. The ongoing deflation, documented  
975 by the high density of active blow-outs, the absence of modern soil formation and a lack of any  
976 significant stabilizing vegetation suggests that the landscape at Su-re has not yet recovered from the  
977 degradation event that was initiated during the 15<sup>th</sup> century AD.

978 Our hypothesis of a non-linear but unidirectional regime shift due to the combined effect of  
979 LIA cooling and an anthropogenic overuse of the landscape is supported by maps and documents  
980 that chronicle the spatio-temporal economic and societal changes in Tibet since the 6<sup>th</sup> century AD  
981 (Ryavec, 2015, p.16). For south-central Tibet these data show a strong increase in the number of  
982 Buddhistic temples and monasteries from the 10<sup>th</sup> until the mid-14<sup>th</sup> century AD and a sharp decline  
983 in the century thereafter. Monasteries were always the social, political and economic hubs in historic  
984 Tibetan societies; hence their number reflects economic and demographic long-term trends (Ryavec,  
985 2015). The 15<sup>th</sup> century environmental degradation seen in southern Tibet at Su-re and a  
986 concomitant decline in temple and monastery construction might well be causally linked (Fig. 8).

987 Finally we note that genetic data and ethnographic accounts suggest that the Sherpas, which  
988 is an ethnic group living in the Khumbu Himalaya today (i.e. the Nepalese part of the Cho-Oyu Mount  
989 Everest massif), left their ancestral Tibetan homeland via a series of migration events. These  
990 migrations have been constrained to <1.5 ka and ca. 0.94 ka ago by genetics (Bhandari et al., 2015),  
991 while recent historical records describe major Sherpa migrations from eastern Tibet (Kham) into the  
992 Tingri region, from where the Sherpas entered the Khumbu Himalaya via the Nangpa La pass during  
993 16<sup>th</sup> century (Oppitz, 1974; Gautama and Thapa-Magar, 1994). Again, these dates broadly coincide  
994 with the onset of the LIA ca. 0.8 ka ago and overlap with the onset of environmental degradation  
995 dated by us to ca. 0.56±0.08 ka ago (ca. 1378 to 1538 AD; Fig. 8).

## 996 8. Conclusions

997 The Tingri graben and the archaeological site of Su-re are situated in the rain shadow of the  
998 Greater Himalaya and thus receive a limited amount of precipitation from the ISM. This arid high-  
999 altitude ecosystem is characterized by cold climate earth surface processes. On orbital to millennial  
1000 time scales temperature fluctuations exerted a strong control on the morphodynamics of this area;  
1001 e.g. the temperature decline of the gLGM governed a complex reaction of the sediment cascade,  
1002 involving glacial advance and formation of massive hummocky moraines, intensification of  
1003 periglacial and permafrost hill slope processes and valley floor aggradation. For the LGM we  
1004 tentatively quantified the depression of the permafrost zone for the Su-re area to  $\sim 344 \pm 109$  m  
1005 relative to today. In contrast, expansion of a vegetation cover with cumelic soil formation (from ca.  
1006 6.7–3.9 ka) characterizes the most favorable climatic phases, such as the Mid-Holocene. High  
1007 sensitivity of the southern Tibetan realm to northern hemisphere cooling also during the Holocene is  
1008 further inferred from comparison of the sedimentary data from Su-re with aeolian and glacial  
1009 records from the adjacent Dinggye area (Pan et al., 2013) and the north flank of Mount Everest  
1010 (Rongbuk valley; Owen et al., 2009). Collectively, these data suggest that formation of the Mid-  
1011 Holocene pedo-complex at Su-re (ca. 3.9 to 6.4 ka) was bracketed by enhanced aeolian activity in  
1012 Dinggye and temperature controlled glacial advances in the Rongbuk valley (northern slopes of  
1013 Mount Everest).

1014 Because of the aridity in southern Tibet, little effective precipitation and thus soil moisture is  
1015 available for segregated and pore ice formation and vegetation growth. For the same reason surface  
1016 runoff and discharge are greatly reduced in this sector of the TP. Hence, high-altitude landscape  
1017 dynamics and earth surface processes in the wider Su-re area are moisture limited, yet sensitive to  
1018 temperature changes. We identify three types of landscape-climate interactions in our investigation  
1019 area that are strongly linked to effective precipitation and thus to (millennial scale) fluctuations in  
1020 ISM intensity: (i) monsoon-vegetation-soil interactions, and monsoon governed interactions  
1021 between (ii) soil moisture and permafrost as well as periglacial activity and (iii) between hydro-  
1022 climate and sediment transport. Our data suggest that these interactions are short term transient  
1023 geomorphological changes driving unidirectional non-linear climate-landscape responses.

1024 Furthermore, we speculate that during the Late Holocene, but definitely during the LIA the  
1025 anthropogenic pressure on the sensitive high-altitude ecosystem of the wider Su-re area was  
1026 steadily increasing, eventually dipping the ecological system and earth surface processes out of  
1027 balance leading to widespread landscape degradation and soil deflation. The dominance of erosional  
1028 processes since the 15<sup>th</sup> century AD., and the absence of notable vegetation in the modern  
1029 landscape of the Tingri-Su-re area are tentatively interpreted as the result of a climatically prepared  
1030 but anthropogenically triggered ecological collapse in southern Tibet. Interestingly, during the 15<sup>th</sup>  
1031 century migration of the Sherpas into the Tingri graben and subsequently into the Khumbu Himalaya  
1032 as well as a sudden decline in temple and monastery construction in southern Tibet occur too  
1033 (Oppitz, 1974; Bhandari et al., 2015; Ryavec, 2015).

1034 Deciphering the (often non-linear) impact of the various climatic drivers on geomorphic  
1035 processes and disentangling (complex) human-environment interrelations in the sensitive high-  
1036 altitude ecosystems of the TP are non-trivial tasks. Achieving these tasks will require much more  
1037 empirical and analytical data on landscape-scale processes and should be based on a careful  
1038 examination of a range of sedimentary archives (including soils, paleosols and periglacial sediments)  
1039 and geomorphological and archaeological features and even ethnographic accounts and a

1040 combination of multiple proxy records with reliable chronological control. So far, most  
1041 paleoenvironmental TP studies have focused on long and continuous single archives (such as lake,  
1042 pollen or speleothem records) or used a single-proxy approach (most studies on aeolian or glacial  
1043 activity). Targeting multiple archives and investigating the interactions between different earth  
1044 surface processes and the role of humans on the TP are research tasks hitherto tackled much less  
1045 frequently by the earth science community working on the TP. Most striking is (i) the circumstance  
1046 that permafrost and periglacial processes are ubiquitous and of major importance for the landscape  
1047 dynamics on the TP (Wang and French, 1995b), yet are severely under-researched on Quaternary  
1048 timescales and (ii) little and only contradictive information is available regarding the time-depth and  
1049 magnitude of a potential human impact on Tibet's sensitive high-altitude ecotone (e.g. Herzschuh et  
1050 al., 2011; Miehe et al., 2014). Therefore, our approach is exemplary and furthers our understanding  
1051 of the details and nature of Late Quaternary changes in landscapes and ecosystems involving  
1052 humans in the largest high-altitude landmass on our planet.

1053

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1062 reviewer for their helpful comments that improved this paper.

1063

1064

1065 **Figure captions**

1066

1067 Figure 1A: The Tibet-Himalaya orogen with sites mentioned in the text (numbered 1 to 6): 1 = Tingri  
1068 basin and the archaeological site of Su-re (this study); 2 = aolian record from the Dinggye area (Pan  
1069 et al., 2013); 3 = ceramic sherds from the Yulai Cun 13-1 site (Hudson et al., 2016); 4 = ceramic  
1070 sherds from the Zhongba 10-9 site (Hudson et al., 2016); 5 = Tianmen speleothem records (Cai et al.,  
1071 2010; Cai et al., 2012); 6 = lacustrine record from Nam Co Lake (Zhu et al., 2015); 7 = Sahiya  
1072 speleothem record (Kathayat et al., 2017); 8 = travertine deposits and fluvial terraces at Tirthapuri,  
1073 upper Sutlej valley (Wang et al., 2017). B: Oblique aerial view over the Tingri graben and Mount  
1074 Everest-Cho Oyu massif (Google Earth). View is to the south. Dotted lines outline the Cho Oyu and  
1075 Lapchi hummocky moraine lobes as well as the Jilong glacial stage.

1076

1077

1078 Figure 2: Geomorphological overview map of the wider Su-re area. The positions of the sedimentary  
1079 logs discussed in the text are indicated (Base map: Google Earth).

1080 Figure 3: Geomorphological detail map of Su-re and area with archaeological surface finds (ASF). The  
1081 positions of the sedimentary logs discussed in the text are indicated (Base map: Google Earth).

1082 Figure 4: Sedimentary logs of Su-re. For location of logs on geomorphological maps and field context  
1083 see figure 2 and 3.

1084 Figure 5A: Geomorphological map of the Cho Oyu hummocky moraine and CRN sampling positions.  
1085 B: Elevation profile across the Cho Oyu hummocky moraine (profile line indicated in figure 5A).

1086 Figure 6: Selected field images from the Su-re area. 6A: Gravelly fluvial terrace sediment (log R1)  
1087 with cryoturbated sand layer. Camera bag for scale. 6B: Gravelly fluvial terrace sediment (log R2)  
1088 overlain by debris flow deposit (white arrow) containing red ceramic (white circle). Cap for scale. 6C:  
1089 Sediment outcrop in gully 2 (log G-2B) composed of (bottom to top): sterile cryoturbated sediments,  
1090 organic rich blackish horizon, reddish Bv-horizon, yellowish debris flow deposits. Spate for scale. 6D:  
1091 Aeolian cover sheet, 1.8 m in thickness (log Bo-180). See also figure 4 for sediment logs and figures 2  
1092 and 3 for positions of sediment outcrops in landscape. 6E: View over the area with high density of  
1093 archaeological surface finds. Note quartzite boulders in background that were in use as a lithic  
1094 quarry site. View is towards northwest. 6F: Leucogranitic spheroid, interpreted as sling shot  
1095 projectile. 6G: Quartzite boulder that revealed negative flake scars after sampling for OSL rock  
1096 surface dating (Gliganic et al., 2019).

1097 Figure 7: OSL data. Representative decay curves (A), dose-response curve (B), and De distribution  
1098 shown as a radial plot (C) for a representative sample (TIN8). The grey bar in (C) is centered on the  
1099 CAM. (D) shows the De distribution for sample TIN4y, which has two apparent populations of De  
1100 values – see text for discussion. The grey bars in (D) are centered on the CAM of each population.

1101 Figure 8: Overview of selected monsoon records and comparison with paleoenvironmental  
1102 processes and events in the Su-re/Tingri area. a) Composite Chinese speleothem record (Wang et al.,  
1103 2008); b) Speleothem record from the Tianmen, central Tibet (Cai et al., 2012); c) Speleothem record  
1104 from Sahiya cave, northwestern Subhimalaya (Kathayat et al., 2017). Note that high  $\delta^{18}\text{O}$  values  
1105 correlate with low monsoon intensities (and vice versa) and can be correlated with cooling events in

1106 the northern hemisphere. Selected northern hemisphere cooling events are indicated on the Sahiya  
1107 speleothem record (grey vertical bars): LIA = little ice age; DACP = Dark Age Cool Period; CP = further  
1108 cool periods.

1109

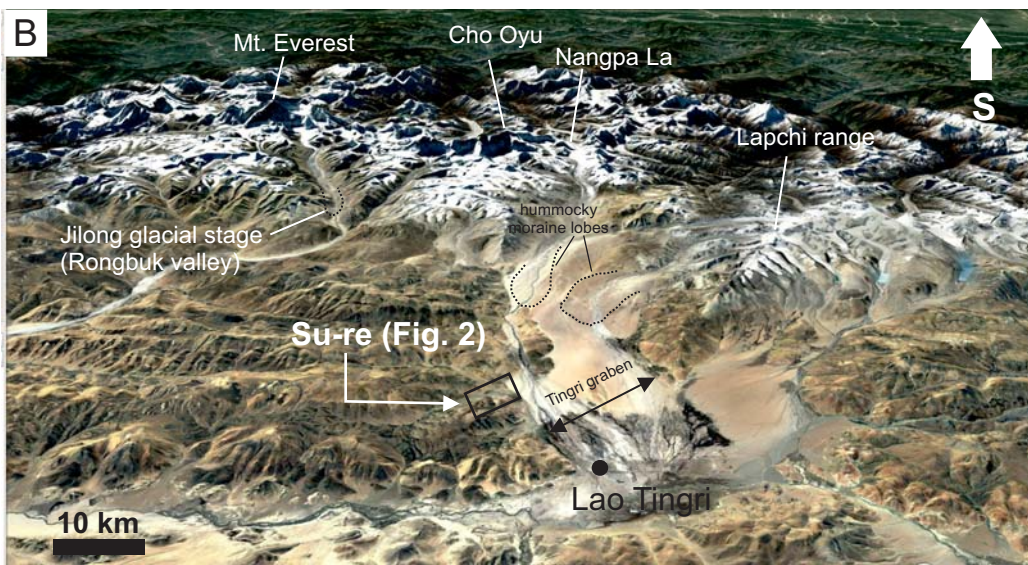
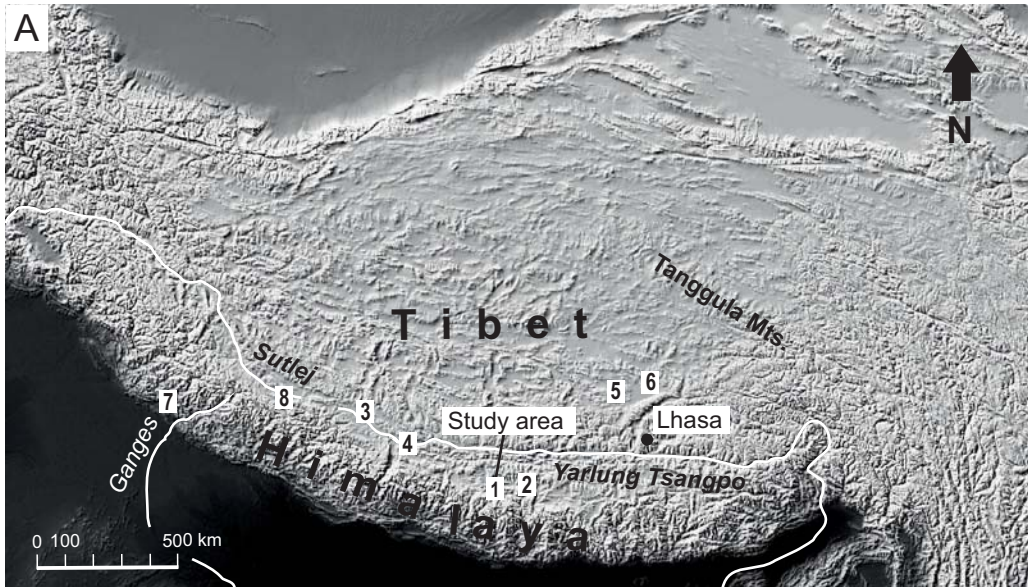


Figure 1



Figure 2



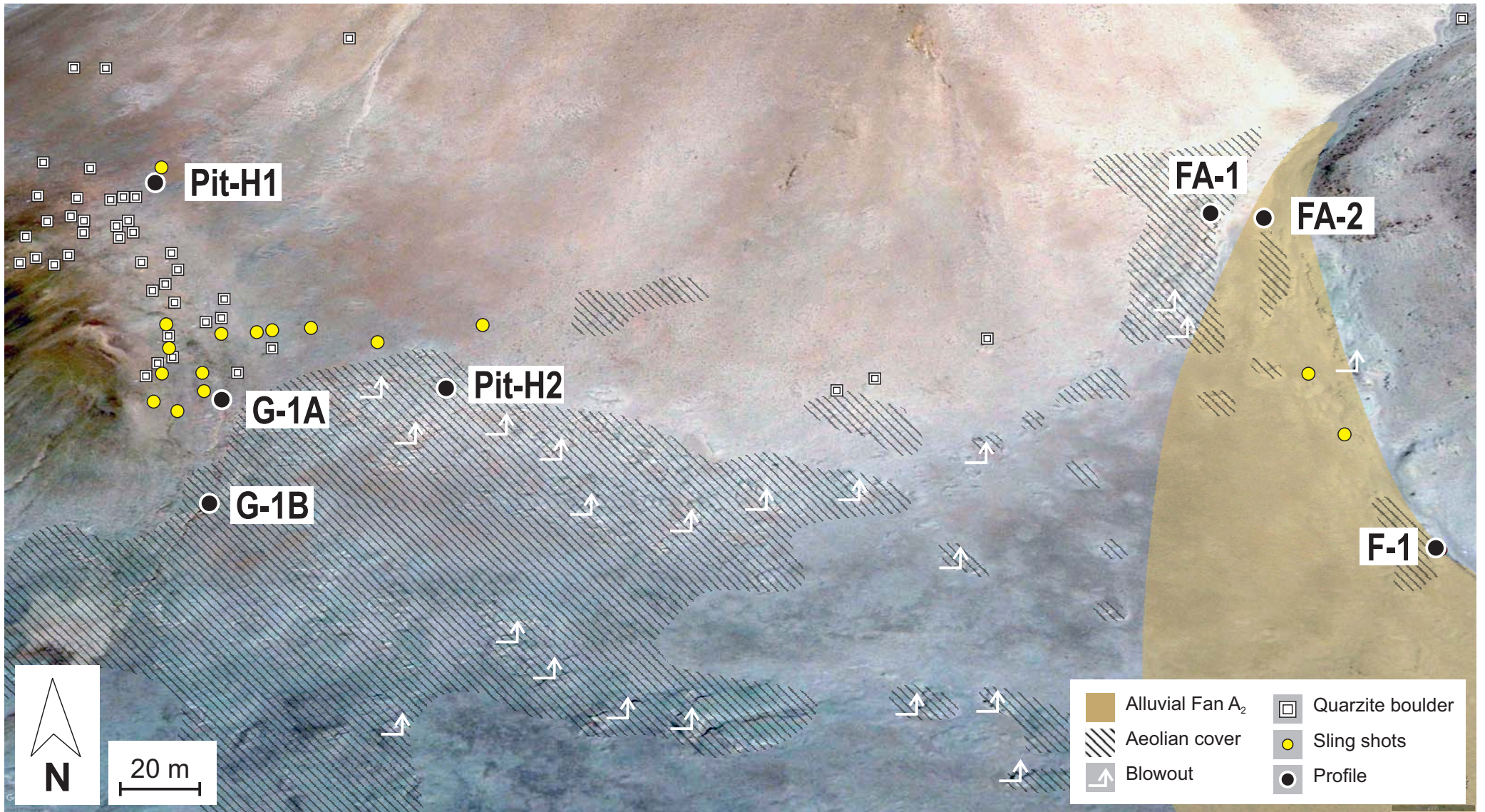


Figure 3

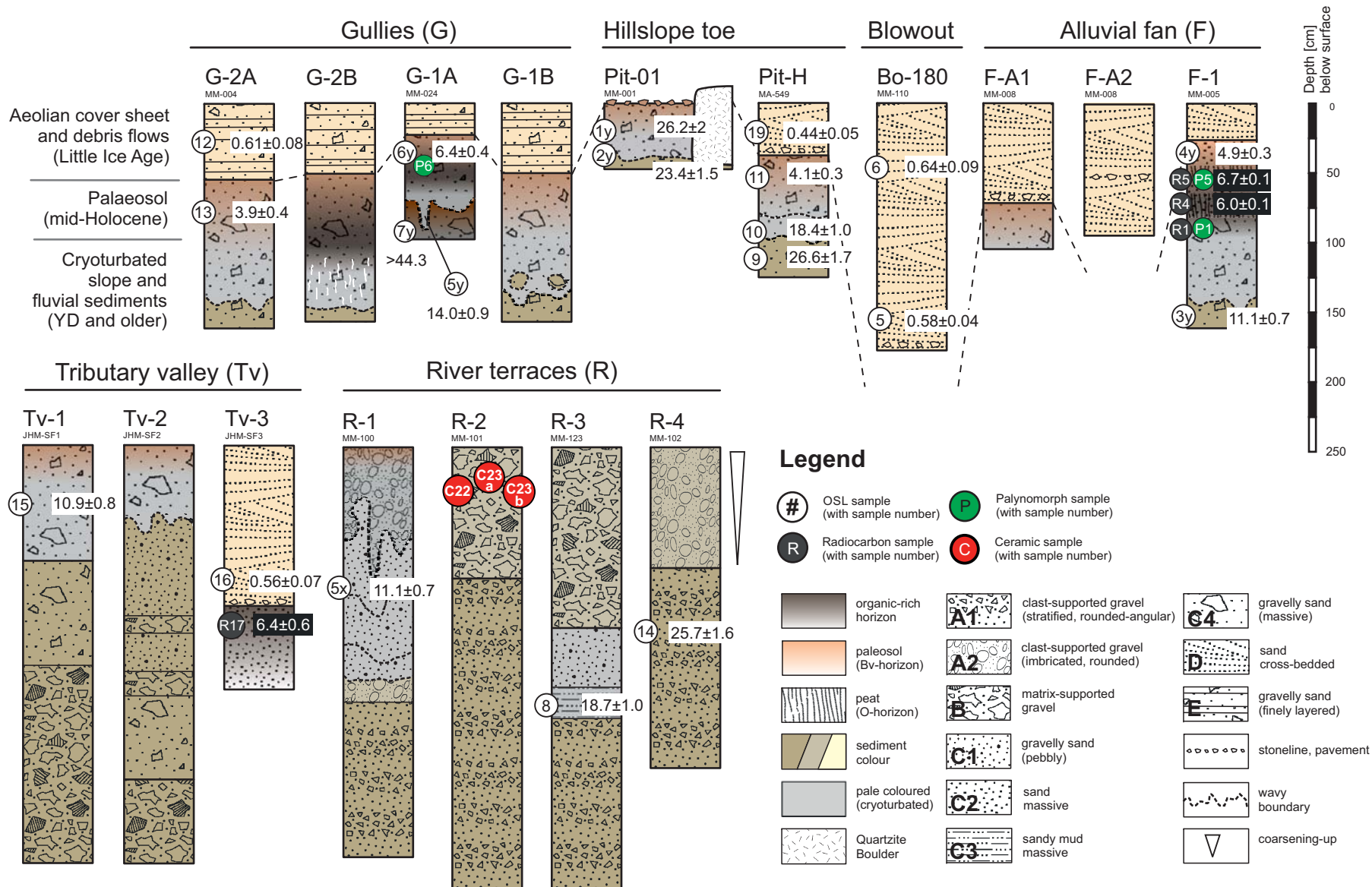


Figure 4

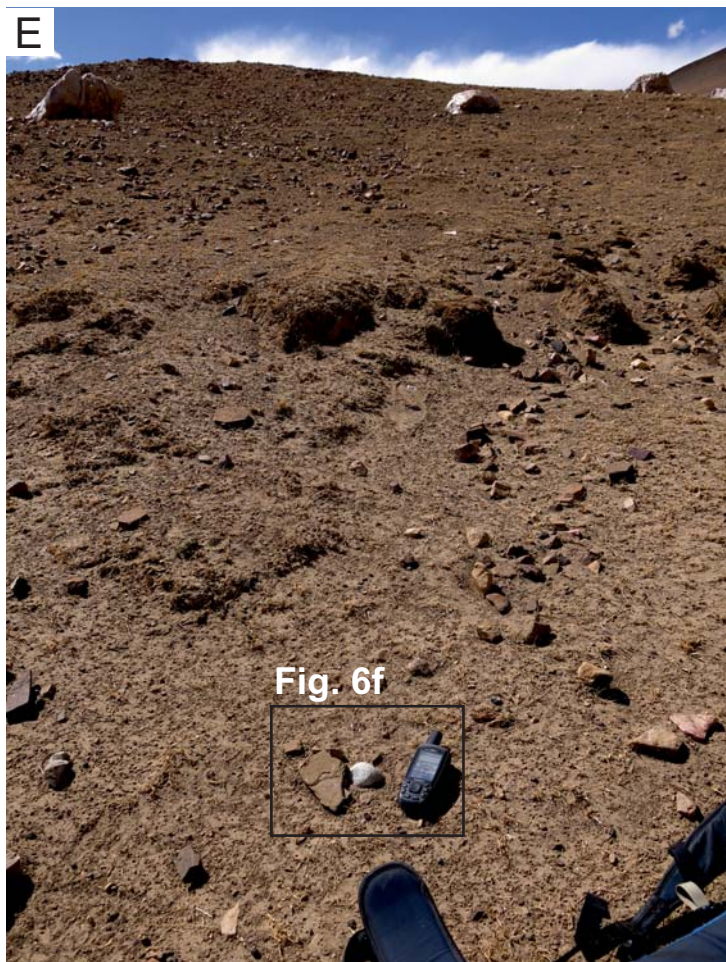
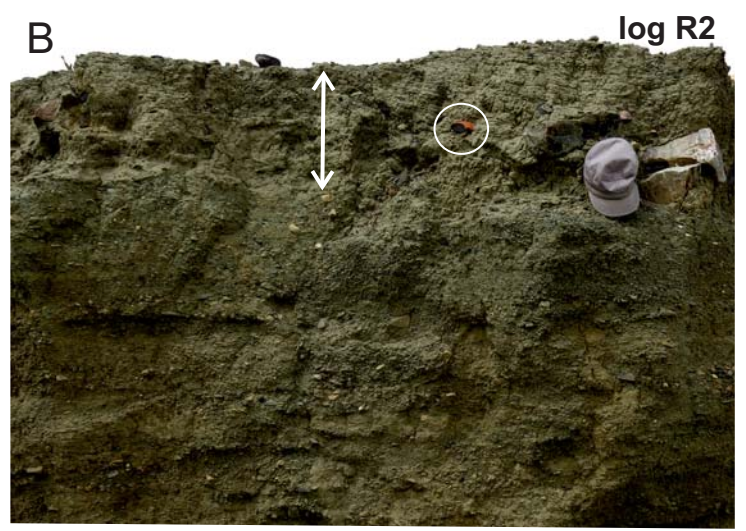


Figure 5

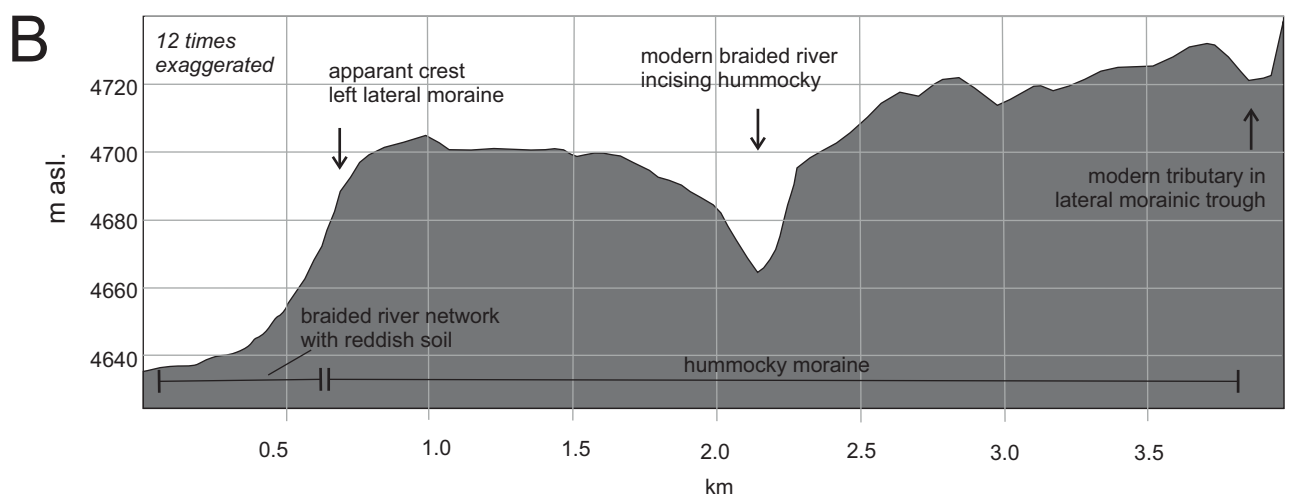
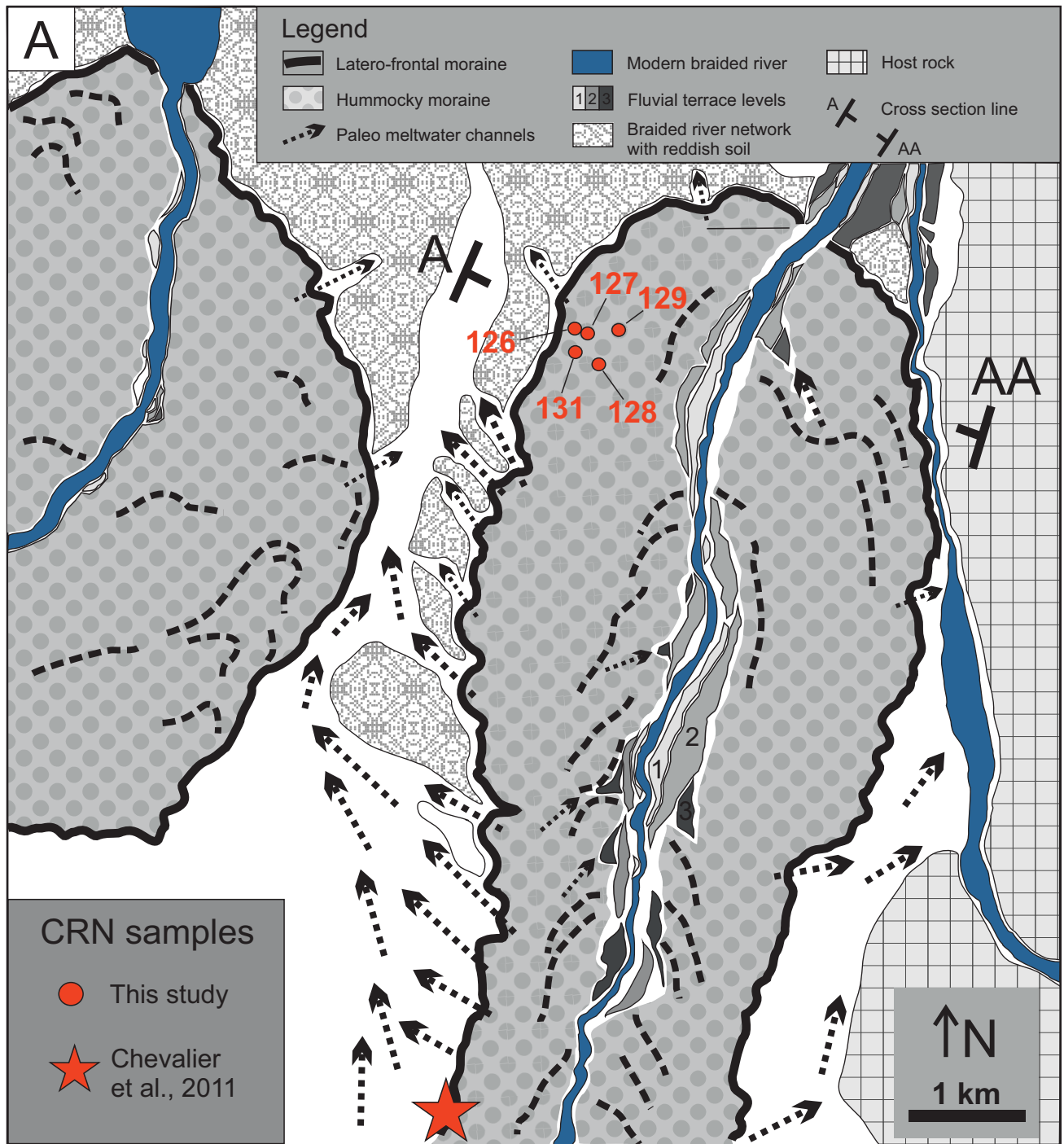


Figure 6

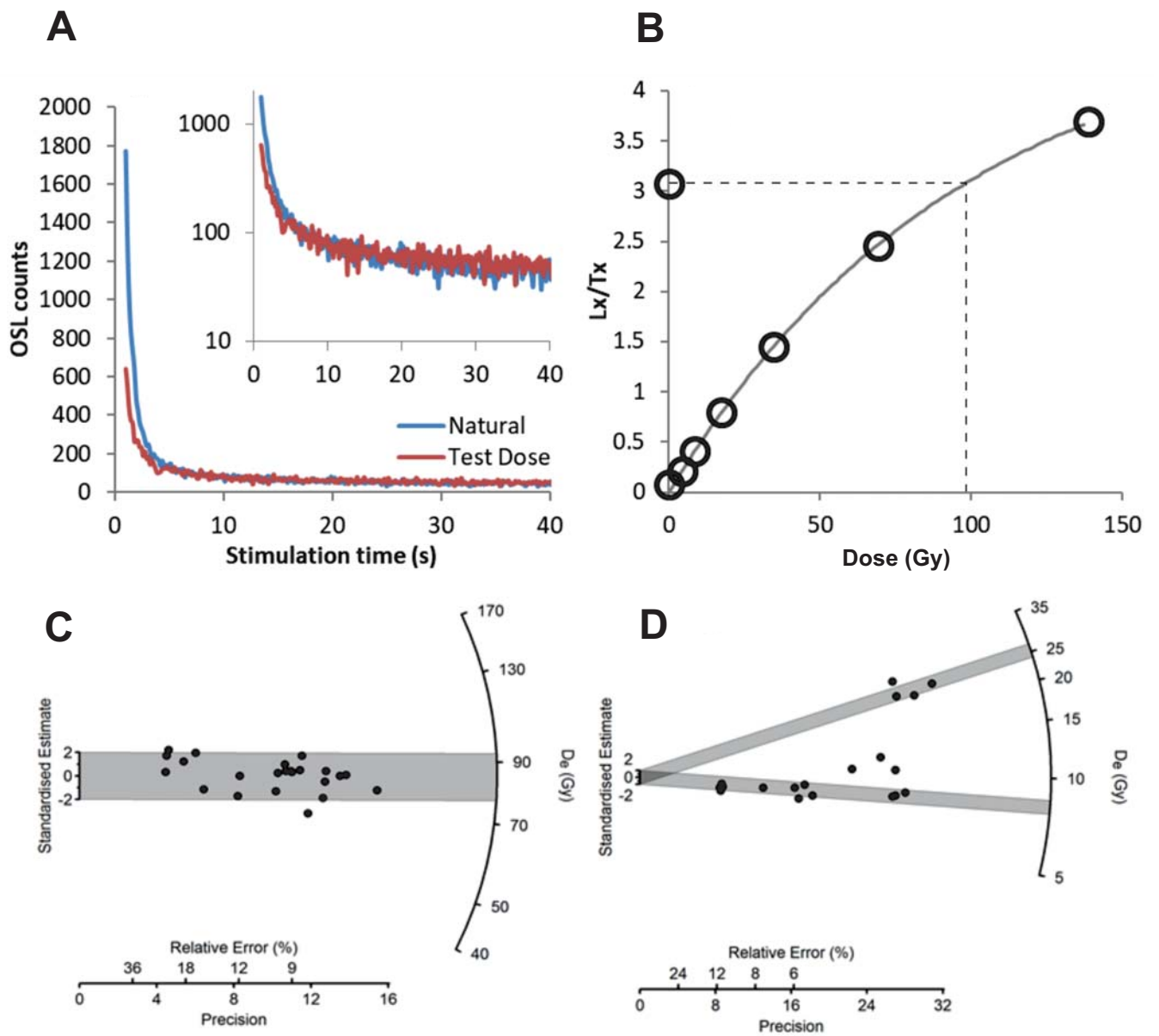


Figure 7

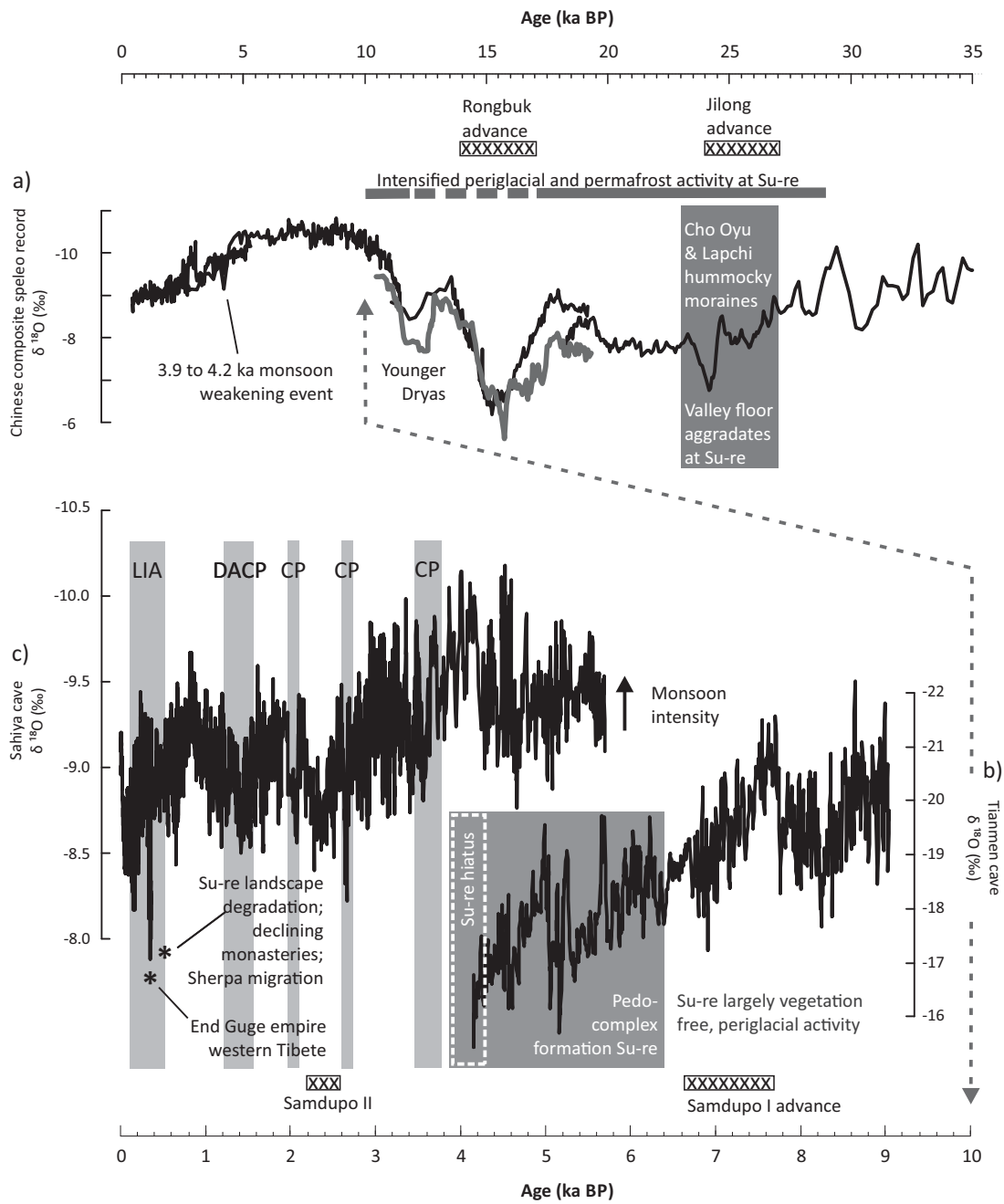


Figure 8

Table 1: Radiocarbon samples from the archaeological site of Su-re and calibrated ages.

Sample code Fig 4 & text	Sample code	Latitude (X°)	Longitude (Y°)	depth <sup>1</sup> (cm)	Fraction dated <sup>2</sup>	Radiocarbon Lab code <sup>3</sup>	uncal. BP <sup>4</sup> (yBP)	$\delta^{13}\text{C}$ (‰) <sup>5</sup>	$\text{d}^{13}\text{C}$ Source	F <sup>6</sup> [ ]	C <sup>7</sup> (mg)	C Yield (%)	yrs. cal. BP <sup>8</sup> (years cal. BP)
R1	TIN2016-14C-1	28.5150	86.6746	90	Sediment	CEDAD_n.n.							n.a.*
R4	TIN2016-14C-4	28.5150	86.6746	72	Sediment	Poz-99983	5200 ± 35	-25.1 ± 1.0	AMS	0.525 ± 0.002	0.2	0.3	5903-6168
R5	TIN2016-14C-5	28.5150	86.6746	60	Sediment	CEDAD_LTL16960A	5881 ± 50	-32.9 ± 0.6	AMS	0.481 ± 0.003	1.5	58	6562-6844
R5x	TIN2016-14C-5	28.5150	86.6746	60	Sediment	Poz-100148	3925 ± 30	-20.5 ± 0.3	AMS	0.614 ± 0.002	1.0	1.0	4249-4438**
R6	TIN2016-14C-6	28.5162	86.6704	53	Charcoal	CEDAD_LTL16961A	2792 ± 45	-23.5 ± 0.3	AMS	0.706 ± 0.004	0.3	<1	2781-3000***
R17	TIN2014-17	28.5241	86.6641	130	Sediment	Poz-71933	5560 ± 40	-29.4 ± 1.0	AMS	0.501 ± 0.002	1.4	0.6	6289-6411

(1) Refers to depth below surface in stratigraphic profile (Figure 4)

(2) Sediment refers to organic rich sediment horizons detailed in text

(3) Radiocarbon Laboratory codes: CEDAD = Centro di Datazione e Diagnostica, Univeristy del Salento, Italy; Poz = Poznan Radiocarbon Laboratory, Poland

(4) Uncalibrated years before present (BP; ie. before 1950) is the conventional radiocarbon age as defined by Stuiver and Polach, (1977)

(5)  $\delta^{13}\text{C}$  normalization is performed using  $\delta^{13}\text{C}$  measured by AMS, thus accounting for AMS fractionation

(6) Fraction modern (F) is the blank corrected fraction modern normalized to  $\delta^{13}\text{C}$  of -25‰, defined by Donahue et al. (1990).

(7) Carbon dioxide was generated by sealed combustion at 900°C in vacuum sealed quartz tubes to obtain the graphite target (C) reported in mg

(8) Calibrated with IntCal13 (Stuiver, M., Reimer, P.J., and Reimer, R.W., 2019, CALIB 7.1 [WWW program] at <http://calib.org>, accessed 2019-2-191993); Calibrated ages are reported at 95% probability (2 $\sigma$ ).

Where two age ranges are given we report the full span. Note that in the text and in Figure 4 the ages are reported as thousand years (ka) cal. BP

\* Not enough C yield, hence undateable

\*\* Replicate sample of sample R5, but without floatation treatment, hence modern rootlet contamination probably caused observed age underestimation

\*\*\* Due to the very low carbon yield this age is regarded as unreliable and omitted from the sediment logs in Figure 4

Table 2: Environmental dose (De) values, dose rates and age data for coarse grained sediment samples from Su-re. See text for discussion of two italicized ages.

Sample	Sed log (Fig 4)	Latitude (X°)	Longitude (Y°)	Depth (cm)	Aliquots (n)	Age model	De (Gy)	Overdispersion (%)	Gamma dose rate (Gy/ka)	Beta dose rate (Gy/ka)	Cosmic dose rate (Gy/ka)	Internal dose rate (Gy/ka)	Total dose rate* (Gy/ka)	Age (ka)
TIN 5x	R-1	28.5129	86.6700	96	24	CAM	44.8 ± 1.8	14 ± 4	1.38 ± 0.03	2.20 ± 0.10	0.44 ± 0.04	0.03 ± 0.01	4.04 ± 0.18	11.07 ± 0.70
TIN 5	Bo-110	28.5124	86.6753	251	23	CAM	2.65 ± 0.2	16 ± 6	1.77 ± 0.04	2.40 ± 0.11	0.36 ± 0.04	0.03 ± 0.01	4.57 ± 0.20	0.58 ± 0.04
TIN 6	Bo-110	28.5124	86.6753	77	17	CAM	2.90 ± 0.4	40 ± 12	1.61 ± 0.03	2.45 ± 0.10	0.45 ± 0.04	0.03 ± 0.01	4.55 ± 0.19	0.64 ± 0.09
TIN 8	R-3	28.5108	86.6775	160	23	CAM	85 ± 2.2	7 ± 3	1.61 ± 0.03	2.48 ± 0.11	0.41 ± 0.04	0.03 ± 0.01	4.54 ± 0.19	18.72 ± 1.01
TIN 9	Pit-H	28.5167	86.6702	115	16	CAM	128 ± 5.1	7 ± 6	1.73 ± 0.04	2.63 ± 0.11	0.43 ± 0.04	0.03 ± 0.01	4.82 ± 0.21	26.62 ± 1.65
TIN 10	Pit-H	28.5167	86.6702	75	22	CAM	84 ± 2.1	0 ± 0	1.58 ± 0.03	2.51 ± 0.11	0.45 ± 0.04	0.03 ± 0.01	4.57 ± 0.20	18.43 ± 1.00
TIN 11	Pit-H	28.5167	86.6702	45	22	CAM	23.5 ± 1.1	17 ± 4	2.18 ± 0.05	3.09 ± 0.14	0.47 ± 0.05	0.03 ± 0.01	5.77 ± 0.25	4.07 ± 0.27
TIN 12	G-2A	28.5165	86.6668	48	24	CAM	3.4 ± 0.4	45 ± 10	2.07 ± 0.05	2.94 ± 0.13	0.47 ± 0.05	0.03 ± 0.01	5.51 ± 0.24	0.61 ± 0.08
TIN 13**	G-2A	28.5165	86.6668	77	22	CAM	22 ± 2.0	40 ± 7	2.24 ± 0.06	3.00 ± 0.13	0.45 ± 0.04	0.03 ± 0.01	5.72 ± 0.25	3.88 ± 0.42
					2	CAM high De	59 ± 6.7							10.34 ± 1.27
					20	CAM low De	20 ± 1.2							3.49 ± 0.27
TIN 14	R-4	28.5129	86.6717	135	22	CAM	105 ± 4.2	9 ± 5	1.44 ± 0.03	2.20 ± 0.10	0.42 ± 0.04	0.03 ± 0.01	4.08 ± 0.18	25.72 ± 1.59
TIN 15	Tv-1	28.5240	86.6637	40	22	CAM	59 ± 3.1	11 ± 8	2.00 ± 0.04	2.90 ± 0.13	0.47 ± 0.05	0.03 ± 0.01	5.41 ± 0.23	10.90 ± 0.78
TIN 16	Tv-3	28.5241	86.6640	130	22	CAM	2.7 ± 0.3	35 ± 11	1.67 ± 0.04	2.63 ± 0.11	0.42 ± 0.04	0.03 ± 0.01	4.75 ± 0.21	0.56 ± 0.07
TIN 19	Pit-H	28.5167	86.6702	60	23	CAM	2.0 ± 0.2	46 ± 9	1.55 ± 0.03	2.55 ± 0.11	0.46 ± 0.05	0.03 ± 0.01	4.59 ± 0.20	0.44 ± 0.05
TIN 1y	Pit-01	28.5166	86.6700	30	19	CAM	115 ± 6.6	21 ± 5	1.49 ± 0.03	2.41 ± 0.11	0.48 ± 0.05	0.03 ± 0.01	4.41 ± 0.19	26.18 ± 1.95
TIN 2y	Pit-01	28.5166	86.6700	41	23	CAM	105 ± 4.4	16 ± 4	1.53 ± 0.03	2.46 ± 0.11	0.47 ± 0.05	0.03 ± 0.01	4.49 ± 0.20	23.43 ± 1.48
TIN 3y	F-1	28.6746	86.6717	81	24	CAM	46 ± 2.2	21 ± 4	1.52 ± 0.03	2.22 ± 0.10	0.45 ± 0.04	0.03 ± 0.01	4.21 ± 0.18	10.95 ± 0.74
TIN 4y**	F-1	28.6746	86.6717	30	21	CAM	25.3 ± 1.1	48 ± 8	1.84 ± 0.04	2.81 ± 0.12	0.48 ± 0.05	0.03 ± 0.01	5.16 ± 0.22	1.94 ± 0.23
					4	CAM high De	25.3 ± 1.1							4.90 ± 0.32
					17	CAM low De	8.1 ± 0.4							1.57 ± 0.11
TIN 5y	G-1A	28.6762	86.6704	105	22		66 ± 2.6	15 ± 4	1.68 ± 0.04	2.56 ± 0.11	0.43 ± 0.04	0.03 ± 0.01	4.70 ± 0.20	14.03 ± 0.87
TIN 6y	G-1A	28.6762	86.6704	57	22		37.7 ± 1.8	21 ± 4	2.32 ± 0.05	3.11 ± 0.14	0.46 ± 0.05	0.03 ± 0.01	5.92 ± 0.25	6.38 ± 0.43

\* Includes an internal contribution of 0.03±0.01 Gy/ka

\*\* Contains two apperant dose populations (high and low De population, respectively. Hence, the CAM De values and ages for entire dataset (all aliquots) and high and low De populations are provided.



Table 3: Dose rates and feldspar luminescence ages based on the post-IR IRSL 290 signal for four pottery sherds from Su-re.

Sample	Latitude (X°)	Longitude (Y°)	Depth (cm)	Moisture content (%)	Sherd alpha (Gy/ka)	Sherd beta (Gy/ka)	Sherd gamma (Gy/ka)	Sediment Gamma (Gy/ka)	Cosmic Dose (Gy/ka)	Total dose rate (Gy/ka)	De (Gy)	Age (ka)
TIN28	28.5134	86.6715	0	2 ± 2	1.49 ± 0.30	3.20 ± 0.19	2.10 ± 0.04	2.14 ± 0.05	0.42 ± 0.04	6.20 ± 0.36	3.07 ± 0.23	0.50 ± 0.05
TIN22	28.5129	86.6705	40	2 ± 2	1.49 ± 0.30	3.20 ± 0.19	2.10 ± 0.04	2.14 ± 0.05	0.40 ± 0.04	7.22 ± 0.37	6.24 ± 0.15	0.86 ± 0.05
TIN23a	28.5129	86.6705	40	2 ± 2	1.49 ± 0.30	3.20 ± 0.19	2.10 ± 0.04	2.14 ± 0.05	0.40 ± 0.04	7.22 ± 0.37	5.27 ± 0.15	0.73 ± 0.05
TIN23b	28.5129	86.6705	40	2 ± 2	1.49 ± 0.30	3.20 ± 0.19	2.10 ± 0.04	2.14 ± 0.05	0.40 ± 0.04	7.22 ± 0.37	5.67 ± 0.15	0.78 ± 0.05

Table 4: Cosmogenic radionuclide concentrations and ages for five moraine boulders from the Cho Oyu hummocky moraine. All samples were treated with in-house <sup>9</sup>Be carrier (Merchel et al., 2013). No geographical shielding corrections were necessary.

Sample ID	Lab number [Be]	Lab number [Al]	Latitude °X	Longitude °Y	Altitude (m asl)	Sample thickness (cm)	Quartz mass (g)	<sup>9</sup> Be addition (mg)	Al <sup>(1)</sup> (µg/g)	<sup>10</sup> Be/ <sup>9</sup> Be <sup>(2)</sup> [10 <sup>-12</sup> ]	<sup>26</sup> Al/ <sup>27</sup> Al <sup>(2)</sup> [10 <sup>-12</sup> ]	<sup>10</sup> Be <sup>(3)</sup> [10 <sup>6</sup> at/g]	<sup>26</sup> Al <sup>(3)</sup> (10 <sup>6</sup> at/g)	<sup>10</sup> Be age <sup>(5)</sup> [ka]	<sup>26</sup> Al age <sup>(5)</sup> (ka)	<sup>26</sup> Al/ <sup>10</sup> Be <sup>(6)</sup>
Oyu_126	B2140	A0687	28.3979	86.6352	4690	3	19.115	0.3162	1612	1.167 ± 0.085 <sup>(3)</sup>	0.0542 ± 0.0045	1.288 ± 0.094	1.93 ± 0.17	19.9 ± 2.2	4.85 ± 0.60	1.7 ± 0.2
Oyu_127	B2141	A0688	28.3971	86.6340	4690	3	17.935	0.3165	551	0.735 ± 0.018	0.272 ± 0.011	0.865 ± 0.021	3.32 ± 0.17	13.3 ± 1.2	8.37 ± 0.84	4.3 ± 0.2
Oyu_128	B1843	A0581	28.3961	86.6395	4690	3	29.164	0.3166	1219	1.056 ± 0.075 <sup>(3)</sup>	0.250 ± 0.015	0.763 ± 0.054	6.80 ± 0.45	11.8 ± 1.3	17.2 ± 1.9	9.9 ± 1
Oyu_129	B1844	A0582	28.3971	86.6385	4690	3	49.467	0.3164	116	4.040 ± 0.079	2.926 ± 0.075	1.726 ± 0.034	7.56 ± 0.30	26.7 ± 2.4	19.2 ± 1.8	4.8 ± 0.2
Oyu_131	B1845	A0583	28.3961	86.6352	4690	3	49.372	0.3170	680	2.41 ± 0.14 <sup>(3)</sup>	0.241 ± 0.017	1.032 ± 0.061	3.67 ± 0.29	15.9 ± 1.7	9.3 ± 1.1	3.9 ± 0.4

(1) Measured by ICP-MS. Uncertainties are 3%.

(2) Not blank-corrected. Uncertainties are AMS analytical uncertainties, i.e., the larger of counting statistics and the spread of repeated measurements, standard normalisation, but no blank corrections.

(3) Overall uncertainties have been doubled as samples did contain unreasonable amounts of Ti- and Al-oxides (originating from non-pure quartz dissolved) reducing the <sup>9</sup>Be current to only 2.9-4.6% compared to the standard.

(4) Uncertainties include in quadrature, AMS analytical uncertainties, standard uncertainty (1.76% for <sup>10</sup>Be/<sup>9</sup>Be and 1.46% for <sup>26</sup>Al/<sup>27</sup>Al) and reproducibility, blank corrections and stable <sup>27</sup>Al measurements.

(5) Exposure ages were calculated by CRONUS Earth (Balco et al. 2008), assuming zero-erosion (version: wrapper script 2.3, main calculator 2.1, constants 2.3, muons 1.1). Errors are 'external uncertainty'. Production rates are based on Lal (1991)/Stone (2000) are 0.290 at/g/yr (muons) and 58.62 at/g/yr (spallation) for <sup>10</sup>Be, and 2.881 at/g/yr (muons) and 395.49 at/g/yr (spallation) for <sup>26</sup>Al.

(6) relative to 07KNSTD

Table 5: Morphometrics of inferred sling shot projectiles and hammer stones (compare Figure 3 for distribution of these artefacts in landscape)

Sample	Latitude (X°)	Longitude (Y°)	Shape	a-axis diameter (cm)	Mass (kg)	Clast morphology	Broken surface	Embedding
006	28.5155	86.6746	spherical	5	1.0	rounded		semi-embedded
007	28.5158	86.6746	spherical	4	0.5	well rounded		semi-embedded
011	28.5165	86.6714	spherical	4	0.5	well rounded		surface find
012	28.5165	86.6710	disc-shaped	6	1.0	rounded		surface find
013	28.5166	86.6703	bladed	13	10.3	well rounded		semi-embedded
014	28.5166	86.6707	spherical	5	1.0	well rounded		semi-embedded
015	28.5166	86.6705	spherical	5	1.0	well rounded	x	surface find
016	28.5166	86.6705	disc-shaped	7	1.6	rounded		surface find
017a	28.5165	86.6702	bladed	7	1.6	rounded	x	semi-embedded
017b	28.5166	86.6701	spherical	4	0.5	rounded	x	semi-embedded
018	28.5165	86.6702	disc-shaped	n.a. <sup>1</sup>	n.a. <sup>1</sup>	rounded	x	surface find
019	28.5164	86.6702	spherical	6	1.7	well rounded		semi-embedded
020	28.5162	86.6702	bladed	8	2.4	well rounded	x	surface find
021	28.5161	86.6703	bladed	7	1.6	angular	x	surface find
022	28.5162	86.6704	spherical	4.5	0.7	rounded	x	surface find
023	28.5163	86.6703	spherical	5	1.0	well rounded		semi-embedded
099	28.5178	86.6696	spherical	4	0.5	well rounded		surface find

<sup>1</sup>clast broken on two sides, original size and mass unknown

Re-submission of the manuscript:

**Landscape dynamics and human-environment interactions in the northern foothills of Cho Oyu and Mount Everest (southern Tibet) during the Late Pleistocene and Holocene**

Meyer, M. C. \*; Gliganic, L. A.; May, J-H.; Merchel, S.; Rugel, G. ; Schlütz, F.; Aldenderfer, M. S. and Krainer, K.

\*corresponding author

Dear Editor,

We have no conflict of interest

Yours sincerely,

M. Meyer and co-authors

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## Supplementary online information (SOM)

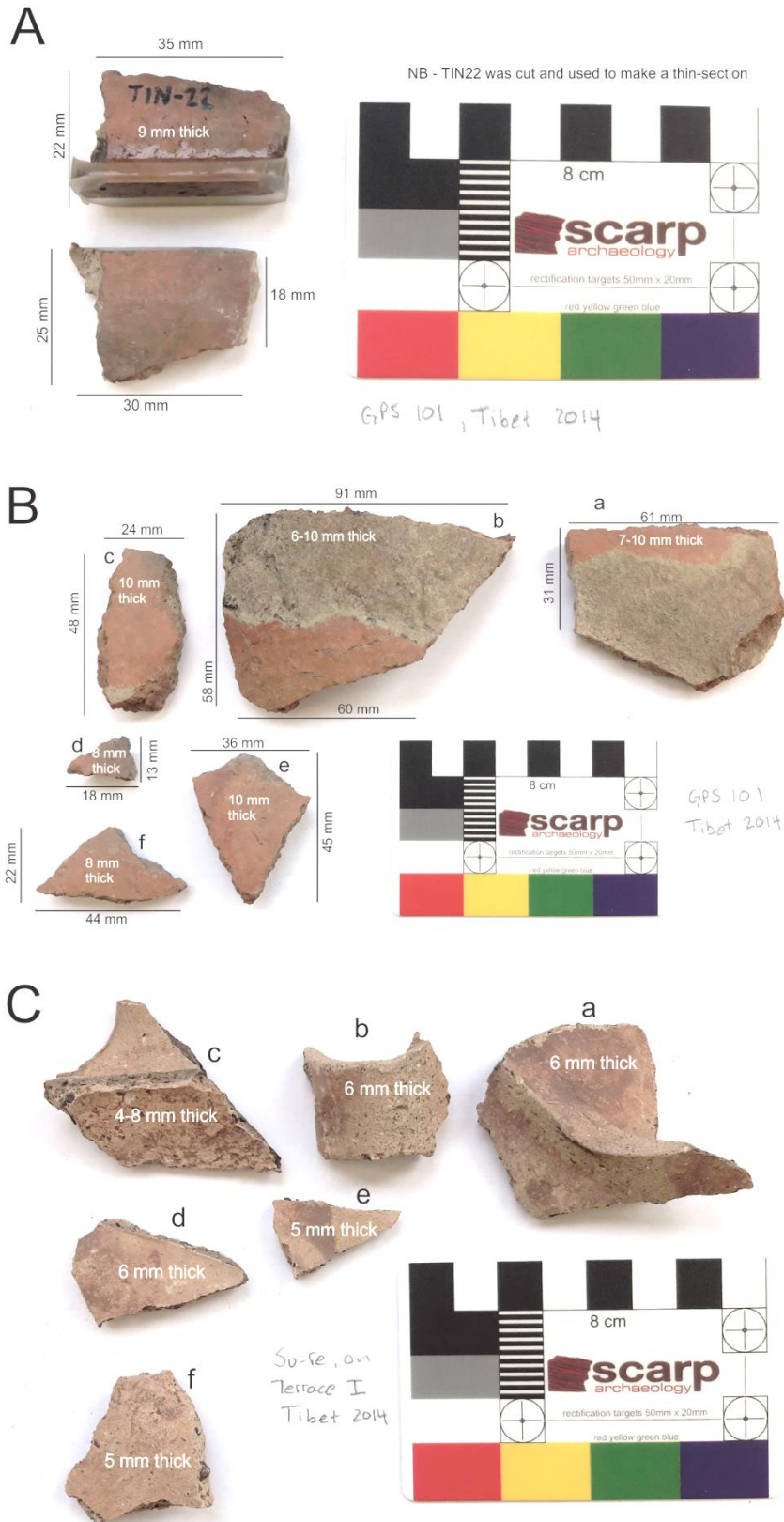
Meyer et al. "Landscape dynamics and human-environment interactions in the northern foothills of Cho Oyu and Mount Everest (Tibet) during the Late Pleistocene and Holocene"

	TIN 9 (periglacial)	TIN 12 (aeolian)	TIN 14 (fluvial)	TIN 19 (aeolian)
Zirkon (%)	4.2	9.8	5.4	11.1
Tourmaline (%)	15.2	18.7	18.6	17.3
Rutile (%)	0.3	0.3	0.9	0.6
Garnet (%)	19.1	16.9	17.2	14.8
Apatite (%)	17.9	13.8	23.1	13
Hornblende (%)	1.2	0.9	0	0
Kyanite (%)	40.9	39.3	34.5	43.2
Epidote (%)	1.2	0.3	0.3	0
Total number of grains (n)	335	326	333	162

**SOM 1:** Transparent heavy minerals extracted from sediment samples TIN 9, 12, 14 and 19 as percentage values and total number of counted grains (n). The samples are identical to the OSL samples TIN 9, 12, 14 and 19 (compare Figure 4 and text for stratigraphic position and sedimentary context). The heavy mineral assemblages are characterized by a high degree of similarity between each other, suggestive of a common source area for each sediment sample. Each sample further contained large amounts of muscovite, some biotite as well as opaque mineral grains, occasionally titanite and carbonate. The tourmaline grains are brownish or green-brown and rarely steel-blue in colour; the garnet grains are pinkish; the kyanite grains are partly rounded. Note that kyanite and garnet form during high grade metamorphism and both occur in relatively high abundance in our dataset. Such grains can still be sourced from the un-metamorphosed sandstone units of the Tibetan Sedimentary Sequence (as implied in the main text), because these sandstones can easily contain detrital grains from high-grade metamorphic rocks of previous orogenesis. This interpretation is in line with the observation that many of the kyanite grains are rounded.

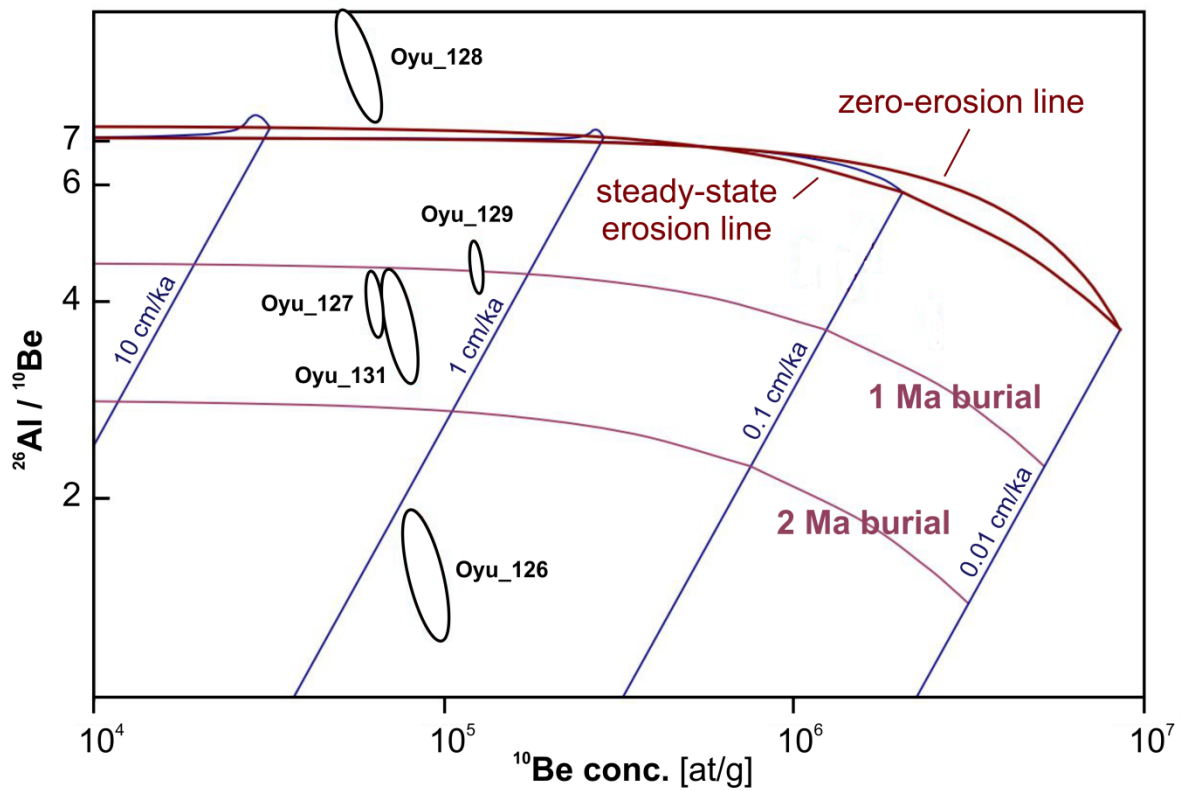
Inventory number	Morphologic features	Status	Altitude m		Latitude	Longitude
			asl.	Exposure		
002	distinct steep snout	intact	5471	N	28°19'47.79"N	87° 4'51.61"E
028	distinct steep snout	intact	5146	N	28°28'32.95"N	87°12'50.07"E
027	distinct steep snout	intact	5052	NW	28°28'45.02"N	87°12'45.96"E
025	distinct steep snout	intact	5050	N	28°29'7.30"N	87°13'8.43"E
026	distinct steep snout	intact	4981	W	28°29'5.97"N	87°12'54.60"E
009	distinct steep snout	intact	4980	N	28°29'46.86"N	87°12'17.08"E
010	distinct steep snout	intact	4945	N	28°29'48.72"N	87°12'13.73"E
006	distinct steep snout	intact	4934	NW	28°30'9.74"N	87°13'0.33"E
008	distinct steep snout	intact	4920	N	28°29'54.83"N	87°12'27.08"E
017	distinct steep snout	intact	4905	W	28°28'53.17"N	87°11'27.37"E
011	distinct steep snout	intact	4900	N	28°29'45.43"N	87°11'59.72"E
018	distinct steep snout	intact	4870	W	28°28'49.61"N	87°11'19.12"E
<i>intact rock glaciers median altitude (± standard deviation)</i>			4963	164		
007	strongly incised	relict	4808	S	28°30'4.06"N	87°12'33.37"E
014	partly incised	relict	4777	N	28°29'9.41"N	87°11'57.46"E
019	partly incised	relict	4596	W	28°28'53.34"N	87°10'54.06"E
022	sparsely vegetated & incised	relict	4560	N	28°25'33.84"N	87°12'52.11"E
023	sparsely vegetated & incised	relict	4538	N	28°25'34.55"N	87°12'35.87"E
020	partly incised	relict	4450	W	28°28'47.57"N	87°10'22.59"E
<i>relict rock glaciers median altitude (± standard deviation)</i>			4578	141		

**SOM 2:** A sample of rock glaciers from the wider Su-re area. The altitudinal position of the individual rock glacier fronts are indicated as well as the average altitude for each group (i.e. intact rock glaciers that are likely containing ice; relict rock glaciers likely to contain no ice).



**SOM 3:** Ceramic sherds from Su-re; samples C 22 (A), C 23 (B) and C 28 (C). See figure 4 for their stratigraphic positions. Sample C 28 is a surface sample from terrace 2. See text for details.





**SOM 4:**  $^{10}\text{Be}$  concentrations plotted against  $^{26}\text{Al}/^{10}\text{Be}$  concentrations for the five Cho Oyu samples investigated in this study (so called 'banana plot').